Abstract

Timed Concurrent Constraint Programming (tcc) is a declarative model for concurrency offering a logic for specifying reactive systems, i.e. systems that continuously interact with the environment. The universal tcc formalism (utcc) is an extension of tcc with the ability to express mobility. Here mobility is understood as communication of private names as typically done for mobile systems and security protocols. In this paper we consider the denotational semantics for tcc, and we extend it to a “collecting” semantics for utcc based on closure operators over sequences of constraints. Relying on this semantics, we formalize a general framework for data flow analyses of tcc and utcc programs by abstract interpretation techniques. The concrete and abstract semantics we propose are compositional, thus allowing us to reduce the complexity of data flow analyses. We show that our method is sound and parametric w.r.t. the abstract domain. Thus, different analyses can be performed by instantiating the framework. We illustrate how it is possible to reuse abstract domains previously defined for logic programming, e.g., to perform a groundness analysis for tcc programs. We show the applicability of these analyses in the context of reactive systems. Furthermore, we make also use of the abstract semantics to exhibit a secrecy flaw in a security protocol. We have developed a prototypical implementation of our methodology and we have implemented the abstract domain for security to perform automatically the secrecy analysis.
Concurrent Constraint Programming (ccp) [30] is a process calculus which combines the traditional operational view of process calculi with a declarative one based upon logic. This combination allows ccp to benefit from the large body of reasoning techniques of both process calculi and logic. In fact, ccp-based calculi have successfully been used in the modelling and verification of several concurrent scenarios: biological, security, timed, reactive and stochastic systems, see e.g., [30, 24, 26, 23, 29, 17].

In the ccp model, agents interact by telling and asking pieces of information (constraints) on a shared store of partial information. The type of constraints that agents can tell and ask (e.g. $x \leq 42$) is parametric in an underlying constraint system.

The ccp model has been extended to consider the execution of processes along a series of time intervals or time-units. In tccp [7], the notion of time is
identified with the time needed to ask and tell information to the store. In this model, the information in the store is carried through the time units. On the other hand, in Timed ccp (tcc) [29], stores are not automatically transferred between time-units. This way, computations during a time-unit proceed monotonically but outputs of two different time-units are not supposed to be related to each other.

More precisely, computations in tcc take place in bursts of activity at a rate controlled by the environment. In this model, the environment provides a stimulus (input) in the form of a constraint. Then the system, after a finite number of internal reductions, outputs the final store (a constraint) and waits for the next interaction with the environment. This view of reactive computation is akin to synchronous languages such as Esterel [2] where the system reacts continuously with the environment at a rate controlled by the environment. These languages allow then to program safety critical applications as control systems, for which it is fundamental to develop tools aiming at helping to develop correct, secure, and efficient programs.

Universal tcc [26] (utcc), adds to tcc the expressiveness needed for mobility. Here we understand mobility as the ability to communicate private names (or variables) much like in the π-calculus [21]. Roughly, a tcc ask process \textbf{when} $c$ \textbf{do} $P$ executes the process $P$ only if the constraint $c$ can be entailed from the store. This idea is generalized in utcc by a parametric ask that executes $P[\vec{t}/\vec{x}]$ when the constraint $c[\vec{t}/\vec{x}]$ is entailed from the store. Hence the variables in $\vec{x}$ act as formal parameters of the ask operator. This simple change allowed to widen the spectrum of application of ccp-based languages to scenarios such as verification of Security Protocols [26], Service Oriented Computing [18] and specification of Multimedia Interaction Systems [28].

Several domains and frameworks, e.g., [6, 4, 1], have been proposed for the analysis of logic programs. The particular characteristics of the timed ccp programs pose additional difficulties for the development of such tools in this language. Namely, the concurrent, timed nature of the language, and the synchronization mechanisms by entailment of constraints (blocking asks). Aiming at statically analyzing utcc as well as tcc programs, we have to consider the additional technical issues due to mobility, particularly, the infinite internal computations generated by parametric asks as we shall explain later.

We develop here a semantics for tcc and utcc which collects all concrete information which is then suitable to properly abstract the properties of interest. This semantics is based on closure operators over sequences of constraints in the lines of [29]. Our semantics is precise for tcc and allows us to effectively approximate the operational semantics of utcc and compositionally describe the behavior of programs. We prove this semantics to be fully abstract w.r.t the operational semantics for a significant fragment of the calculus. Next, we propose an abstract denotational semantics which approximates the concrete one.

Our framework is formalized by abstract interpretation techniques and is parametric w.r.t. the abstract domain. It allows us to exploit also the work done for developing abstract domains for logic programs. Moreover, we can
make new analyses for reactive and mobile systems, thus widening the reasoning techniques, available for both, tcc and utcc (e.g., type systems [16], logical characterizations [20, 23, 26], semantics [29, 25, 23]).

The abstraction we propose proceeds in two-levels. First, we approximate the constraint system leading to an abstract constraint system. We give the sufficient conditions which have to be satisfied for ensuring the soundness of the abstraction. Next, to obtain efficient analyses, we abstract the infinite sequences of (abstract) constraints obtained from the previous step. Our semantics is then computable and compositional. Thus, it allows us to master the complexity of the data-flow analyses. Moreover, the abstraction over-approximates the concrete semantics and then it preserves safety properties.

To the best of our knowledge, this is the first attempt to propose a general abstract interpretation framework for a language adhering to the above-mentioned characteristics of tcc or utcc programs. Hence we can develop analyses for several applications of utcc or its sub-calculus tcc (see [24] for a survey of applications of ccp-based languages). In particular, in this paper we instantiate our framework in three different scenarios. The first one tailors an abstract domain for groundness and type dependencies analysis in logic programming to perform a groundness analysis of a tcc program. This analysis is proven useful to derive a property of a control system specified in tcc. The second scenario presents an abstraction of a cryptographic constraint system. We then use the abstract semantics to approximate the behavior of the protocol and exhibit a secrecy flaw in a security protocol programmed in utcc. Finally, we show how to perform a suspension analysis within the framework.

We have also developed a prototypical application of our framework and implemented the abstract domain for the verification of secrecy properties. The examples in Section 5.1 were automatically verified with this tool available at http://www.lix.polytechnique.fr/~colarte/prototype/. In this URL the reader can also find the complete outputs of these examples as well as the application of the framework for the verification of another protocol not described in this paper.

We believe that our results can also help to define analyses for other languages for modeling reactive systems, e.g. Esterel [2], and for mobile computations (e.g. for languages based on the π-calculus [21]). See the discussion on related work in Section 6.

**Organization.** The rest of the paper is organized as follows. Section 2 recalls the notion of constraint system and the operational semantics of tcc and utcc. In Section 3 we develop the denotational semantics based on sequences of constraints. Next, in Section 4, we study the abstract interpretation framework for tcc and utcc programs. The three instances and the applications of the framework are presented in Section 5. Section 6 concludes.
2 Preliminaries

ccp-based calculi are parametric in a constraint system specifying the basic constraints (e.g. \( x \leq 42 \)) agents can tell and ask. Here we consider an abstract definition of such systems as lattices following [30]. The notion of constraint system as first-order formulae (e.g. in [26, 23]) can be seen as an instance of this definition. All results of this paper still hold, of course, when more concrete systems are considered.

A cylindric constraint system is a structure

\[ C = (\mathcal{C}, \leq, \sqcup, t, f, \text{Var}, \exists, d) \text{ s.t.:} \]

- \( (\mathcal{C}, \leq, \sqcup, t, f) \) is a lattice with \( \sqcup \) the lub operation (representing the logical and), and \( t, f \) the least and the greatest elements in \( \mathcal{C} \) respectively. Elements in \( \mathcal{C} \) are called constraints with typical elements \( c, c', d, d' \)....

- \( \text{Var} \) is a denumerable set of variables and for each \( x \in \text{Var} \) the function \( \exists_x : \mathcal{C} \to \mathcal{C} \) is a cylindrification operator satisfying: (1) \( \exists_x c \leq c \). (2) If \( c \leq d \) then \( \exists_x c \leq \exists_x d \). (3) \( \exists_x (c \sqcup \exists_x d) = \exists_x c \sqcup \exists_x d \). (4) \( \exists_x \exists_y c = \exists_y \exists_x c \).

- For each \( x, y \in \text{Var} \), \( d_{xy} \in \mathcal{C} \) is a diagonal element and it satisfies: (1) \( d_{xx} = t \). (2) If \( z \) is different from \( x, y \) then \( d_{xy} = \exists_z (d_{xz} \sqcup d_{zy}) \). (3) If \( x \) is different from \( y \) then \( c \leq d_{xy} \sqcup \exists_x (c \sqcup d_{xy}) \).

The cylindrification operators model a sort of existential quantification, helpful for defining the hiding operator as we explain below. The diagonal elements are useful to model parameter passing in procedures calls.

We say that \( d \) entails \( c \) in \( \mathcal{C} \) iff \( c \leq d \) and we write \( d \vdash c \). If \( d \vdash c \) and \( c \vdash d \) we write \( d \equiv c \).

We lift the previous notations to sequences of constraints. We denote respectively by \( \mathcal{C}^\omega, \mathcal{C}^{\omega*} \) the set of finite and infinite sequences of constraints with typical elements \( s, s' \). We use \( c^\omega \) to denote the sequence \( c.c.c.. \). The length of \( s \) is denoted by \( |s| \) and the empty sequence by \( \cdot \). The \( i \)-th element in \( s \) is \( s(i) \). We write \( s \leq s' \) iff \( |s| \leq |s'| \) and for all \( i \in \{1, \ldots, |s|\} \), \( s(i) \vdash s(i) \). If \( |s| = |s'| \) and for all \( i \in \{1, \ldots, |s|\} \), \( s(i) \equiv s'(i) \), we shall write \( s \equiv s' \).

Notation 2.1 (Terms and substitutions). We denote by \( T \) the set of terms in the constraint system. We use \( \vec{t} \) for a sequence of terms \( t_1, \ldots, t_n \) with length \( |\vec{t}| = n \). If \( |\vec{t}| = 0 \) then \( \vec{t} \) is written as \( \cdot \). We use \( c[\vec{x}/\vec{t}] \), where \( |\vec{t}| = |\vec{x}| \) and \( x_i \)'s are pairwise distinct, to denote \( c \) in which the free occurrences of \( x_i \) have been replaced with \( t_i \). The substitution \( [\vec{t}/\vec{x}] \) will be similarly applied to other syntactic entities. We shall use \( \vec{=} \) to denote syntactic term equivalence (e.g., \( x = x \) and \( x \not= y \)).

We say that \( \vec{t} \) is admissible for \( \vec{x} \), notation \( \text{adm}(\vec{x}, \vec{t}) \), if \( |\vec{x}| = |\vec{t}| \) and for all \( i, j \in \{1, \ldots, |\vec{x}|\} \), \( x_i \not= t_j \). If \( |\vec{x}| = |\vec{t}| = 0 \) then trivially \( \text{adm}(\vec{x}, \vec{t}) \). Similarly, we say that the substitution \( [\vec{t}/\vec{x}] \) is admissible iff \( \text{adm}(\vec{x}, \vec{t}) \).

In what follows, we will have the following convention.
Convention 2.2 (Diagonal elements an equality). In what follows we assume that the constraint system under consideration contains an equality theory. Then, diagonal elements \( d_{xy} \) can be thought as the formulae \( x = y \) (see e.g., [30]). We shall then use indistinguishably both notations. Similarly, given a variable \( x \) and a term \( t \), we shall use \( d_{xt} \) to denote the equality \( x = t \).

2.1 Reactive Systems and Timed CCP

Reactive systems [2] are those that react continuously with their environment at a rate controlled by the environment. For example, a controller or a signal-processing system, receive a stimulus (input) from the environment. It computes an output and then, waits for the next interaction with the environment.

In the \( \text{ccp} \) model, the shared store of constraints grows monotonically, i.e., agents cannot drop information (constraints) from it. Then, a systems that changes the state of a signal (i.e., the value of a variable) cannot be modeled: The conjunction of the constraints “signal = on” and “signal = off” leads to an inconsistent store.

The timed \( \text{ccp} \) calculus (\( \text{tcc} \)) [29] extends \( \text{ccp} \) for reactive systems. Time is conceptually divided into time intervals (or time units). In a particular time interval, a \( \text{ccp} \) process \( P \) gets an input \( c \) from the environment, it executes with this input as the initial store, and when it reaches its resting point, it outputs the resulting store \( d \) to the environment. The resting point determines also a residual process \( Q \) which is then executed in the next time unit. The resulting store \( d \) is not automatically transferred to the next time unit. This way, computations during a time-unit proceed monotonically but outputs of two different time-units are not supposed to be related to each other. Therefore, the variable signal above may change its value when passing from one time-unit to the next one.

In the following we present the syntax of \( \text{tcc} \) following the notation in [23].

Definition 2.3 (tcc Processes). The set \( \text{Proc} \) of \( \text{tcc} \) processes is built from constraints in the underlying constraint system by the following syntax :

\[
P, Q := \text{skip} \mid \text{tell}(c) \mid \text{when} \ c \ \text{do} \ P \mid P \| Q \mid (\text{local} \ \vec{x}; c) \ P \mid \text{next} \ P \mid \text{unless} \ c \ \text{next} \ P \mid !P \mid p(\vec{x})
\]

The process \( \text{skip} \) does nothing thus representing inaction. The process \( \text{tell}(c) \) adds \( c \) to the store in the current time interval making it available to the other processes. The \( \text{ask} \) process \( \text{when} \ c \ \text{do} \ P \) remains blocked until the store is strong enough to entail the guard \( c \); if so, it behaves like \( P \).

The parallel composition of \( P \) and \( Q \) is denoted by \( P \| Q \). Given a set of indexes \( I = \{i_1, ..., i_n\} \), we shall use \( \prod_{i \in I} P_i \) to denote the parallel composition \( P_1 \| ... \| P_n \).

The process \( (\text{local} \ \vec{x}; c) \ P \) binds \( \vec{x} \) in \( P \) by declaring it private to \( P \). It behaves like \( P \), except that all the information on the variables \( \vec{x} \) produced by \( P \) can only be seen by \( P \) and the information on the global variable in \( \vec{x} \)
micCtrl(Error, Button) :-
  (local E', B', e, b) (
    ! tell(Error = [e | E'] ⊔ Button = [b|B'])
    || when on ⊔ open do ! tell(e = yes ⊔ E' = [] ⊔ b = stop)
    || when off do (tell(e = no) || next micCtrl(E', B'))
    || when closed do (tell(e = no) || next micCtrl(E', B')))

Figure 1: tcc model for a microwave controller.

produced by other processes cannot be seen by P. The local information on \( \bar{x} \) produced by P corresponds to the constraint c representing a local store. When c = t, we shall simply write (local \( \bar{x} \)) P instead of (local \( \bar{x}; t \)) P.

We shall use bv(Q) (resp. fv(Q)) to denote the set of bound (resp. free) variables occurring in Q.

The unit-delay next P executes P in the next time unit. The time-out unless c next P is also a unit-delay, but P is executed in the next time unit if c is not entailed by the final store at the current time interval. We use next\(^n\)P as a shorthand for next...next P, with next repeated n times. Finally, the replication ! P means P || next P || next\(^2\)P || ... , i.e., unboundedly many copies of P but one at a time.

Assume a (recursive) procedure definition \( p(\bar{y}) :- P \) where fv(P) ⊆ \( \bar{y} \). The call \( p(\bar{x}) \) replaces the formal parameters \( \bar{y} \) in P with the actual parameters \( \bar{x} \). Recursive calls in P must be guarded by a next process to avoid non-terminating sequences of recursive calls during a time-unit (see [29, 23]).

Let us give an example of a control system modeled in tcc.

**Example 2.4 (Control System).** Assume a simple control system for a microwave checking that the door must be closed when it is turned on. Otherwise, it must emit an error signal. The specification in tcc of this system is depicted in Figure 1.

In this tcc program, constraints of the form \( X = [e|X'] \) asserts that X is a list with head e and tail \( X' \). This way, the process micCtrl binds Error to a list ended by “yes” when the microwave was turned on and the door was open at the same interval of time. Furthermore, the constant stop is added into the list Button signaling the environment that the microwave must be powered off.

Later on, in Section 5.3, we shall show how the abstract interpretation framework developed here allows for the verification of this system.

### 2.2 Mobile behavior and UTCC

The tcc calculus lacks of mechanisms for name passing, i.e., mobility in the sense of the \( \pi \)-calculus [21]. Let us illustrate this with an example. Let out(·)
be a constraint and let \( P = \text{when } \text{out}(x) \text{ do } R \) a system that must react when receiving a stimulus of the form \( \text{out}(n) \) for \( n > 0 \). We notice that under input \( \text{out}(42) \), \( P \) does not execute \( R \) since \( \text{out}(42) \) does not entail \( \text{out}(x) \) (i.e. \( \text{out}(42) \not\vdash \text{out}(x) \)). The issue here is that \( x \) is a free-variable and hence does not act as a formal parameter (or place holder) for every term \( t \) such that \( \text{out}(t) \) is entailed by the store.

In [26], \texttt{tcc} is extended for mobile reactive systems leading to universal \texttt{timed ccp} (utcc). To model mobile behavior, \texttt{utcc} replaces the \texttt{tcc} ask operation \texttt{when c do P} with a more general parametric ask construction, namely \((\text{abs } \vec{x}; c) P\). This process can be viewed as a \texttt{λ-abstraction} of the process \( P \) on the variables \( \vec{x} \) under the constraint (or with the guard) \( c \). Intuitively, \( Q = (\text{abs } \vec{x}; c) P \) performs \( P[\vec{t}/\vec{x}] \) in the current time interval for all the terms \( \vec{t} \) s.t \( c[\vec{t}/\vec{x}] \) is entailed by the current store. For example, \( P = (\text{abs } x; \text{out}(x)) R \) under input \( \text{out}(42) \) executes \( R[42/x] \).

From a programming point of view, we can then see the variables \( \vec{x} \) in the abstraction \((\text{abs } \vec{x}; c) P\) as the formal parameters of \( P \) (see Remark 2.7).

**Definition 2.5 (utcc Processes).** The \texttt{utcc} processes result from replacing in the syntax in Definition 2.3 the expression \texttt{when c do P} with \((\text{abs } \vec{x}; c) P\) with the variables in \( \vec{x} \) being pairwise distinct.

As explained above, the process \( Q = (\text{abs } \vec{x}; c) P \) executes \( P[\vec{t}/\vec{x}] \) in the current time interval for all the terms \( \vec{t} \) s.t \( c[\vec{t}/\vec{x}] \) is entailed by the store. When \( |\vec{x}| = 0 \) (i.e. \( \vec{x} = \epsilon \)), we recover the \texttt{tcc} ask operator and we write \texttt{when c do P} instead of \((\text{abs } \epsilon; c) P\).

The process \( Q = (\text{abs } \vec{x}; c) P \) binds \( \vec{x} \) in \( P \) and \( c \). Therefore, we extend accordingly the sets \( \texttt{bv}(Q) \) and \( \texttt{fv}(Q) \) of bound and free variables. Furthermore \( Q \) evolves into \texttt{skip} at the end of the time unit, i.e. abstractions are not persistent when passing from one time-unit to the next one.

**Definition 2.6 (utcc programs).** Let \( D \) be a set of procedure declarations of the form \( p(\vec{y}) :- P \). A \texttt{utcc} program takes the form \( D.P \) where \( P \) is a process. For every procedure name, we assume that there exists one and only one corresponding declaration in \( D \).

**Remark 2.7.** The \texttt{utcc} calculus was introduced in [26] without procedure definitions. Here we add them to properly deal with \texttt{tcc} programs with recursion. In \texttt{utcc}, recursive definitions do not add any expressiveness since they can be encoded by using abstractions (see [27]).

We conclude this section with an example of mobile behavior in \texttt{utcc}. Here, a process \( P \) sends a local variable to \( Q \). Then, both processes can communicate through the shared variable.

**Example 2.8.** Assume two components \( P \) and \( Q \) of a system such that \( P \) creates a local variable that must share with \( Q \). Roughly, this system can be modeled as

\[
\begin{align*}
P & = (\text{local } x) (\text{tell(out(x))) } || P' \\
Q & = (\text{abs } z; \text{out}(z)) Q'
\end{align*}
\]
In the next section, we shall see that the parallel composition of $P$ and $Q$ evolves to a process of the form

$$(\text{local } x) (P' \parallel Q'[x/z])$$

where $P'$ and $Q'$ share the local variable $x$ created by $P$. Then, any information produced by $P'$ on $x$ can be seen by $Q'$ and vice versa.

### 2.3 Operational Semantics (SOS)

The structural operational semantics (SOS) of $\text{tcc}$ and $\text{utcc}$ considers transitions between process-store configurations $(P, c)$ with stores represented as constraints and processes quotiented by $\equiv$. We use $\gamma, \gamma', \ldots$ to range over configurations.

**Definition 2.9.** Let $\equiv$ be the smallest congruence satisfying: (1) $P \equiv Q$ if they differ only by a renaming of bound variables (alpha-conversion). (2) $P \parallel P \equiv P$. (3) $P \parallel Q \equiv Q \parallel P$. (4) $P \parallel (Q \parallel R) \equiv (P \parallel Q) \parallel R$. (5) $P \parallel (\text{local } \vec{x}; c) Q \equiv (\text{local } \vec{x}; c) (P \parallel Q)$ if $\vec{x} \not\in \text{fv}(P)$ (Scope Extrusion) (6) $(\text{local } \vec{x}; c) (\text{local } \vec{y}; d) P \equiv (\text{local } \vec{x}; \vec{y}; c \cup d) P$ if $\vec{x} \cap \vec{y} = \emptyset$ and $\vec{y} \not\in \text{fv}(c)$. 

Extend $\equiv$ by decreeing that $(P, c) \equiv (Q, \gamma)$ if $P \equiv Q$.

Transitions are given by the relations $\rightarrow$ and $\Rightarrow$ in Table 1. The internal transition $\langle P, d \rangle \rightarrow \langle P', d' \rangle$ should be read as “$P$ with store $d$ reduces, in one internal step, to $P'$ with store $d'$”. The observable transition $P \xrightarrow{(c,d)} R$ should be read as “$P$ on input $c$, reduces in one time unit to $R$ and outputs $d$”. The observable transitions are obtained from finite sequences of internal ones.

We only describe some of the rules in Table 1. See [23, 26] for further details. The rules are easily seen to realize the operational intuitions given above. As clarified below, the seemingly missing rules for **next** and **unless** processes are given by $R_{\text{OBS}}$.

Let $Q = (\text{local } x; c) P$ in Rule $R_{\text{LOC}}$. The global store is $d$ and the local store is $c$. We distinguish between the external (corresponding to $Q$) and the internal point of view (corresponding to $P$). From the internal point of view, the information about $x$, possibly appearing in the “global” store $d$, cannot be observed. Thus, before reducing $P$ we first hide the information about $x$ that $Q$ may have in $d$ by using the cylindrification operator $\exists_x \in d$. Similarly, from the external point of view, the observable information about $x$ that the reduction of the internal agent $P$ may produce (i.e., $c'$) cannot be observed. Thus we also hide it by $\exists_x c'$ before adding it to the global store. Additionally, we make $c'$ the new private store of the evolution of the internal process.

Let $Q = (\text{abs } \vec{x}; c) P$ in Rule $R_{\text{ABS}}$. If the current store entails $c[\vec{t}/\vec{x}]$ then $P[\vec{t}/\vec{x}]$ is executed. Additionally, the abstraction persists in the current time interval to allow other potential replacements of $\vec{x}$ in $P$. Notice that the guard $c$ is augmented with $\vec{x} \neq \vec{t}$ (syntactic difference) to avoid executing $P[\vec{t}/\vec{x}]$ again. We assume then the constraint “$\neq$” to be defined in the constraint system.
Table 1: Operational Semantics for tcc and utcc. ≡ is given in Definition 2.9. In R

Furthermore, without loss of generality (by alpha conversion), we assume that the variables in \( \vec{x} \) does not occur in \( \vec{y} \).

The rule R_CALL makes use of the diagonal elements (see Section 2) to model parameter passing as standardly done in ccp [30]. In this equation,

\[
\Delta_{\vec{x}}^\vec{y}P = (\text{local } \vec{a}) (\text{!tell}(d_{\vec{a}\vec{y}}) \parallel (\text{local } \vec{y}) (!\text{tell}(d_{\vec{a}\vec{y}}) \parallel P))
\]

where the variables in \( \vec{a} \) are assumed to occur neither in the declaration nor in the process \( P \), and \( d_{\vec{a}\vec{y}} \) denotes the constraint \( \bigwedge_{1 \leq i \leq |\vec{x}|} d_{x_i y_i} \). Roughly speaking, \( \Delta_{\vec{x}}^\vec{y} \) equates the actual parameters \( \vec{x} \) and the formal parameters \( \vec{y} \). What we observe is then the execution of \( P[\vec{x}/\vec{y}] \).

Rule R_OBS says that an observable transition from \( P \) labeled with \((c, d)\) is obtained from a terminating sequence of internal transitions from \((P, c)\) to \((Q, d)\). The process \( R \) to be executed in the next time interval is equivalent to \( F(Q) \) (the “future” of \( Q \)). \( F(Q) \) is obtained by removing from \( Q \) abstractions.
Lemma 2.12

confluence property of internal transitions, namely that of deterministic. Before proving this result, we first need to state an important

Example 2.10. Let $P$ and $Q$ be as in Example 2.8. The parallel composition $R = P \parallel Q$ under input $t$ evolves as follows:

$$
\langle R, t \rangle \rightarrow^* \langle (\text{local } x; c) (P' \parallel (\text{abs } z; \text{out}(z)) Q'), \exists x(c) \rangle
\rightarrow^* \langle (\text{local } x; c) (P'' \parallel Q'[x/z] \parallel Q''), \exists x(c) \rangle
$$

where $Q'' = (\text{abs } z; \text{out}(z) \cup x \neq z) Q'$ and $c = \text{out}(x)$. Notice that $P'$ and $Q'[x/z]$ share the local variable $x$.

2.3.1 Observables and Input-output Behavior

In this section we formally define the behavior of a process $P$ relating its outputs under the influence of a sequence of inputs (constraints) from the environment.

Definition 2.11 (Behavior). Let $s = c_1.c_2...c_i$ and $s' = c'_1.c'_2...c'_i$ be sequences of constraints. If $P = P_1 \rightarrow (c_1,c'_1) P_2 \rightarrow (c_2,c'_2) ... P_i \rightarrow (c_i,c'_i) P_{i+1}$, we write $P \rightarrow^{(s,s')}. The set $\text{io}(P) = \{(s,s') \mid P \rightarrow^{(s,s')} \}$ denotes the input-output behavior of $P$.

The outputs of a utcc process are equivalent up to $\equiv$, this is, the calculus is deterministic. Before proving this result, we first need to state an important property of internal transitions, namely that of confluence.

Lemma 2.12 (Confluence). Suppose that $\gamma_0 \rightarrow \gamma_1$, $\gamma_0 \rightarrow \gamma_2$ and $\gamma_1 \neq \gamma_2$. Then, there exists $\gamma_3$ such that $\gamma_1 \rightarrow \gamma_3$ and $\gamma_2 \rightarrow \gamma_3$.

Proof. Given a configuration $\gamma = \langle P, c \rangle$ let us define the size of $\gamma$ as the size of $P$ defined as: $M(\text{skip}) = 0$, $M(\text{tell}(c)) = 1$, $M((\text{abs } \overline{x}; c) P') = M((\text{local } \overline{x}; c) P') = M(\text{next } P') = M(\text{unless } c \text{ next } P') = M(! P') = 1 + M(P')$ and $M(Q \parallel R) = M(Q) + M(R)$.

Suppose that $\gamma_0 \equiv \langle P, c_0 \rangle \rightarrow \gamma_1$, $\gamma_0 \rightarrow \gamma_2$ and $\gamma_1 \neq \gamma_2$. The proof proceeds by induction on the size of $\gamma_0$. From the assumption $\gamma_1 \neq \gamma_2$, it must be the case that $P$ is not a process of the form $\text{tell}(c)$, $! P'$ or $\text{unless } c \text{ next } P'$ since from those processes there is a unique possible transition modulo structural congruence.

For the case $P = Q \parallel R$, we have to consider three cases. Assume that $\gamma_1 \equiv \langle Q' \parallel R, c_1 \rangle$ and $\gamma_2 \equiv \langle Q'' \parallel R, c_2 \rangle$. We know by induction that if $\gamma_0 \equiv \langle Q, c_0 \rangle \rightarrow \gamma_1 \equiv \langle Q', c_1 \rangle$ and $\gamma_0 \rightarrow \gamma_2 \equiv \langle Q'', c_2 \rangle$ then there exists $\gamma_3 \equiv \langle Q''' \parallel R, c_3 \rangle$ such that $\gamma_1 \rightarrow \gamma_3$ and $\gamma_2 \rightarrow \gamma_3$. We conclude by noticing that $\gamma_1 \rightarrow \gamma_3 \equiv \langle Q''' \parallel R, c_3 \rangle$ and $\gamma_2 \rightarrow \gamma_3$ by rule $R_{\text{PAR}}$. The case when $R$ has two possible transitions is similar to the previous one. Now assume that
γ_1 ≡ ⟨Q’ ∥ R, c_0 ∧ c_1⟩ and γ_2 ≡ ⟨Q ∥ R’, c_0 ∧ c_2⟩. Then, by Lemma ?? we have γ_3 ≡ ⟨Q’ ∥ R’, c_0 ∧ c_1 ∧ c_2⟩.

Finally, let γ_0 ≡ ⟨P, c_0⟩ with P = ⟨abs x; c⟩ Q. One can verify that γ_1 ≡ ⟨P_1, c_0⟩ where P_1 takes the form ⟨abs x; c ∧ x ≠ t_1⟩ Q ∥ Q[t_1/x] and γ_2 ≡ ⟨P_2, c_0⟩ where P_2 takes the form ⟨abs x; c ∧ x ≠ t_2⟩ Q ∥ Q[t_2/x] for some terms t_1 and t_2.

From the assumption γ_1 ≠ γ_2, it must be the case that t_1 ≠ t_2. Let γ_3 ≡ ⟨P_3, c_0⟩ where P_3 = ⟨abs x; c ∧ x ≠ t_1 ∧ x ≠ t_2⟩ Q ∥ Q[t_1/x] ∥ Q[t_2/x]. Clearly γ_1 → γ_3 and γ_2 → γ_3 as wanted.

As a corollary of the previous lemma we obtain a fundamental property of utcc, i.e., determinism.

**Theorem 2.13 (Determinism).** Let α, β and β’ be sequences of constraints. If both (α, β), (α, β’) ∈ io(P) then for all i > 0, β(i) ≡ β’(i).

**Proof.** Assume that P ≅_(a,c) Q, P ≅_(a,c’) Q’ and let γ_1 ≡ ⟨P, a⟩, γ_2 ≡ ⟨P, a⟩.

If γ_1 → then trivially γ_2 →, c ≡ c’ and Q ≡ Q’. Now assume that γ_1 →∗

γ_1’ ≡ ⟨c, P_1⟩ → and γ_2’ ≡ ⟨c’, P_2⟩ →. By repeated applications of Lemma 2.12 we conclude γ_1’ ≡ γ_2’ and then, c ≡ c’ and P_1 ≡ P_2. We therefore have Q ≡ Q’.

**Monotonic fragment** Notice that, unlike the other constructs in utcc, the unless operator exhibits non-monotonic input-output behavior in the following sense: Let P = unless c next Q. Given s ≤ s’, if ⟨s, w⟩, ⟨s’, w’⟩ ∈ io(P), it may be the case that w ≤ w’. For example, take Q = tell(d), s = t^ω and s’ = c.t^ω. Then, w = t.d.t^ω and w’ = c.t^ω with w ≤ w’.

We then define a monotonic process as follows:

**Definition 2.14 (Monotonic Processes).** We say that P is a monotonic process iff P does not have occurrences of processes of the form unless c next Q.

**2.3.2 Strongest Postcondition**

Given a process P, we can show that io(P) is a partial closure operator, i.e., it is a function satisfying extensiveness and idempotence. Furthermore, if P is monotonic, io(P) is a closure operator satisfying additionally monotonicity.

**Lemma 2.15 (Closure Properties).** Let P be a monotonic process process. Then,

1. io(P) is a function.
2. io(P) is a closure operator, namely it satisfies:
   - Extensiveness: If ⟨s, s’⟩ ∈ io(P) then s ≤ s’.
   - Idempotence: If ⟨s, s’⟩ ∈ io(P) then ⟨s’, s’⟩ ∈ io(P).
   - Monotonicity: If ⟨s_1, s_1’⟩ ∈ io(P) and s_1 ≤ s_2, then there exists s_2’ such that ⟨s_2, s_2’⟩ ∈ io(P) and s_2 ≤ s_2’.
Proof. The proof of (1) is immediate from Theorem 2.13. For (2) we have the following:

- **Extensiveness:** Assume that \( \langle P, c \rangle \rightarrow \langle P', c' \rangle \). One can show by a simple induction on the definition of \( \rightarrow \) that \( c \leq c' \). We thus have that if \( P \xrightarrow{(s,s')} \), then \( s \leq s' \).

- **Idempotence:** On can easily prove by induction on the definition of \( \rightarrow \) that if \( \langle P, c \rangle \rightarrow \langle P', c' \rangle \), for all \( c'' \), it holds that \( \langle P, c \cup c'' \rangle \rightarrow \langle P', c' \cup c'' \rangle \).

Assume now that \( \langle P, c \rangle \rightarrow^* \langle Q, d \rangle \). We thus have \( \langle P, c \cup d \rangle \rightarrow^* \langle Q, d \rangle \).

By extensiveness, \( d \vdash c \) and then \( c \cup d \equiv d \) and then, \( \langle P, d \rangle \rightarrow^* \langle Q, d \rangle \).

If \( P \xrightarrow{(s,s')} \), we then conclude \( P \xrightarrow{(s',s')} \).

- **Monotonicity:** We proceed as in [23]. Let \( \preceq \) be the minimal ordering relation on processes satisfying:
  1. **skip \( \preceq P \)**.
  2. If \( P \preceq Q \) and \( P \equiv P' \) and \( Q \equiv Q' \) then \( P' \preceq Q' \).
  3. If \( P \preceq Q \), for every context \( C[\cdot], C[P] \preceq C[Q] \).

We have to show that for every \( P, P', c \) and \( c' \), if \( \langle P, c \rangle \rightarrow^* \langle P', c' \rangle \rightarrow \), then for every \( d \vdash c \) and \( Q \) s.t. \( P \preceq Q \) there exists \( d' \vdash c' \) and \( Q' \) with \( F(P') \preceq F(Q') \) s.t. \( \langle Q, d \rangle \rightarrow^* \langle Q', d' \rangle \rightarrow^* \). This can be proved by induction on the length of the derivation using the following two properties:

- \( \rightarrow \) is monotonic wrt the store, in the sense that, for every \( P, P', c \) and \( c' \), if \( \langle P, c \rangle \rightarrow \langle P', c' \rangle \) then for every \( d \vdash c \) and \( Q \) such that \( P \preceq Q \) there exists \( d' \vdash d \) and \( Q' \) with \( P' \preceq Q' \) s.t. \( \langle Q, d \rangle \rightarrow \langle Q', d' \rangle \).

This can be proved easily by induction on the structure of \( Q \).

- For every monotonic \( P \) and \( c \), if \( \langle P, c \rangle \rightarrow \) then for every \( d \vdash c \) and \( Q \) s.t. \( P \preceq Q \) we have either
  * \( \langle Q, d \rangle \rightarrow \).
  * \( \langle Q', d' \rangle \rightarrow^* \). Also this property can be proved easily by induction on the structure of \( Q \). The restriction to programs which do not contain unless constructs is essential here.

Assume that \( \langle P, c \rangle \rightarrow \langle P', c' \rangle \) and let \( d \vdash c \). As stated previously, \( \langle P, c \cup d \rangle \rightarrow \langle P', c' \rangle \) for all \( c'' \), it holds that \( \langle P, c \cup c'' \rangle \rightarrow \langle P', c' \cup c'' \rangle \).

\square

A pleasant property of closure operators is that they are uniquely determined by their set of fixpoints, here called the **strongest postcondition**.

**Definition 2.16 (Strongest Postcondition).** Given a utcc process \( P \), the strongest postcondition of \( P \), denoted by \( sp(P) \), is defined as the set \( \{ s \mid (s, s) \in io(P) \} \).
Intuitively, \( s \in sp(P) \), iff \( P \) under input \( s \) cannot add any information whatsoever, i.e. \( s \) is a quiescent sequence for \( P \). We also can think of \( sp(P) \) as the set of sequences that \( P \) can output under the influence of an arbitrary environment. Therefore, proving whether \( P \) satisfies a given property \( A \), in the presence of any environment, reduces to proving whether \( sp(P) \) is a subset of the the set of sequences (outputs) satisfying the property \( A \).

Finally, it is worth noticing that for the monotonic fragment of \( \text{utcc} \), the input-output behavior can be retrieved from the strongest postcondition. This is formalized in Theorem 2.19 whose proof requires the following result.

**Lemma 2.17.** If \( e \vdash c \vdash d \) and \( \langle P, d \rangle \rightarrow \langle Q, e \rangle \) then \( \langle P, c \rangle \rightarrow \langle Q, e \rangle \).

**Proof.** Assume that \( e \vdash c \vdash d \). We proceed by induction on the inference of \( \langle P, d \rangle \rightarrow \langle Q, e \rangle \). We consider only the case for the rule \( R_{ABS} \). The other cases are easy. If the rule \( R_{ABS} \) was used in the derivation \( \langle P, d \rangle \rightarrow \langle Q, e \rangle \), it must be the case that \( P \equiv (\text{abs } \bar{x}; c') P' \) and there exists a term \( t \) such that \( d \vdash c'[\bar{t}/\bar{x}] \). Hence, if \( c \vdash d \) then \( c \vdash c'[\bar{t}/\bar{x}] \) and we conclude \( \langle P, c \rangle \rightarrow \langle Q, e \rangle \).

The previous result can be generalized to the observable transition for the case of monotonic processes.

**Lemma 2.18.** Let \( P \) be a monotonic process. If \( s \leq s' \leq s'' \) and \( P \xrightarrow{(s,s'')} \) then \( P \xrightarrow{(s',s'')} \).

**Proof.** Let \( s = c_1,c_2,...,c_n \), \( s' = c'_1,c'_2,...,c'_n \) and \( s'' = c''_1,c''_2,...,c''_n \). If \( P \xrightarrow{(s,s'')} \) then there exists a derivation of the form

\[
P = P_1 \xrightarrow{(c_1,c'_1)} P_2 \xrightarrow{(c_2,c'_2)} ... P_n \xrightarrow{(c_n,c'_n)} P_{n+1}
\]

Let \( i \in \{1,..,n\} \). We know that \( P_i \xrightarrow{(c_i,c''_i)} P_{i+1} \) and then, there is a derivation of the form \( (P_i,c_i) \rightarrow^* (P'_i,c''_i) \rightarrow \) such that \( F(P'_i) = P_{i+1} \). By Lemma 2.17 we derive \( (P_i,c'_i) \rightarrow^* (P'_i,c''_i) \rightarrow \) and we conclude \( P_i \xrightarrow{(c'_i,c''_i)} P_{i+1} \).

**Theorem 2.19.** Let \( \min \) be the minimum function w.r.t. the order induced by \( \leq \). Given a monotonic \( \text{utcc} \) process \( P \), \( (s,s') \in io(P) \) iff \( s' = \min(sp(P) \cap \{w \mid s \leq w\}) \)

**Proof.** Let \( P \) be a monotonic process.

\[
\Rightarrow \text{Assume that } (s,s') \in io(P). \text{ As a mean of contradiction assume that } s'' = \min(sp(P) \cap \{w \mid s \leq w\}) \text{ and } s' \neq s''. \text{ By extensiveness, } s \leq s' \text{ and by idempotence, } (s',s') \in io(P) \text{ and then } s' \in sp(P). \text{ Since } s'' \in sp(P) \text{ and } s \leq s'', \text{ it must be the case that } s \leq s'' < s'. \text{ By Lemma 2.18 we know that } P \xrightarrow{(s'',s')} \text{. From } P \xrightarrow{(s'',s'')} \text{ and Theorem 2.13, we conclude } s'' \equiv s', \text{ thus a contradiction.}
\]
Assume that \( s' = \min(sp(P) \cap \{ s \mid s \leq w \}) \). As a mean of contradiction assume that \((s, s'') \in \text{io}(P)\) and \( s' \neq s'' \). By idempotence, \( s', s'' \in sp(P) \) and then \( P \xrightarrow{(s', s'')} \) and \( P \xrightarrow{(s'', s'')} \). Furthermore, it must be the case that \( s \leq s' < s'' \). By Lemma 2.18 we know that \( P \xrightarrow{(s', s'')} \) and by Theorem 2.13 we conclude \( s'' \equiv s' \), thus a contradiction.

3 A Denotational model for tcc and utcc

As we explained before, the strongest postcondition relation fully captures the behavior of a process considering any possible output under an arbitrary environment. In this section we develop a denotational model for the strongest postcondition. The semantics is compositional and it is the basis for the abstract interpretation framework we develop in Section 4.

Our semantics is built on the closure operator semantics for ccp and tcc in \([30, 29]\). Unlike the denotational semantics for utcc in \([25]\), our semantics is more appropriate for the data-flow analysis due to its simpler domain based on sequences of constraints instead of sequences of temporal formulae. In Section 6 we elaborate more on the differences between both semantics.

Roughly speaking, the semantics is based on a (continuous) immediate consequence operator \( T_D \), which computes in a bottom-up fashion the interpretation of each procedure definition \( p(\vec{x}) :\ P \) in \( D \). Such an interpretation is given in terms of the set of the quiescent sequences for \( p(\vec{x}) \).

3.1 Compositional Semantics

Let \( \text{ProcHeads} \) denote the set of process names with their formal parameters and recall that \( C^\omega \) stands for the set of infinite sequences of constraints. We shall call \( \text{Interpretations} \) the set of functions in the domain \( \text{ProcHeads} \to \mathcal{P}(C^\omega) \). The semantics is defined as a function \([\cdot] : (\text{ProcHeads} \to \mathcal{P}(C^\omega)) \to (\text{Proc} \to \mathcal{P}(C^\omega)) \) which given an interpretation \( I \), associates to each process a set of sequences of constraints.

Let us give some intuitions about the semantic equations in Table 2. Recall that \([\cdot] \) aims at capturing the strongest postcondition (or quiescent sequences) of a process \( P \), i.e. the sequences \( s \) s.t. \( P \) under input \( s \) cannot add any information whatsoever. So, \text{skip} cannot add any information to any sequence (Equation \( D_{\text{SKIP}} \)). The sequences to which \text{tell}(c) \) cannot add information are those whose first element entails \( c \) (Equation \( D_{\text{TELL}} \)). A sequence is quiescent for \( P \parallel Q \) if it is for \( P \) and \( Q \) (Equation \( D_{\text{PAR}} \)).

The process \text{next} \( P \) has no influence on the first element of a sequence, thus \( d.s \) is quiescent for it if \( s \) is quiescent for \( P \) (Equation \( D_{\text{NEXT}} \)). A similar explanation can be given for the process \text{unless} \( c \text{ next} \( P \) (Equation \( D_{\text{UNL}} \)). A sequence \( s \) is quiescent for \( !P \) if it is quiescent for every process of the form \( P \).
<table>
<thead>
<tr>
<th>Symbol</th>
<th>Equation</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\text{D}_{\text{SKIP}}$</td>
<td>$[[\text{skip}]]_I = C^\omega$</td>
</tr>
<tr>
<td>$\text{D}_{\text{TELL}}$</td>
<td>$[[\text{tell}(c)]]_I = {d.s \in C^\omega \mid d \vdash c}$</td>
</tr>
<tr>
<td>$\text{D}_{\text{PAR}}$</td>
<td>$[[P \parallel Q]]_I = [[P]]_I \cap [[Q]]_I$</td>
</tr>
<tr>
<td>$\text{D}_{\text{NEXT}}$</td>
<td>$[[\text{next } P]]_I = {d.s \in C^\omega \mid s \in [[P]]_I}$</td>
</tr>
<tr>
<td>$\text{D}_{\text{UNL}}$</td>
<td>$[[\text{unless } c \text{ next } P]]_I = {d.s \in C^\omega \mid d \not\vdash c \text{ and } s \in [[P]]_I}$ \cup {d.s \in C^\omega \mid d \vdash c}$</td>
</tr>
<tr>
<td>$\text{D}_{\text{REP}}$</td>
<td>$[[! P]]_I = {s \in C^\omega \mid \text{ for all } s''', s'' \text{ s.t. } s = s'''.s', s' \in [[P]]_I}$</td>
</tr>
<tr>
<td>$\text{D}_{\text{LOC}}$</td>
<td>$[[\text{local } \vec{x}; c] P]]_I = {s \in C^\omega \mid \text{ there exists an } \vec{x}\text{-variant } s' \text{ of } s \text{ s.t. } s'(1) \vdash c \text{ and } s' \in [[P]]_I}$</td>
</tr>
<tr>
<td>$\text{D}_{\text{ASK}}$</td>
<td>$[[\text{when } c \text{ do } P]]_I = {d.s \in C^\omega \mid d \vdash c \text{ and } d.s \in [[P]]_I}$ \cup {d.s \in C^\omega \mid d \not\vdash c}$</td>
</tr>
<tr>
<td>$\text{D}_{\text{ABS}}$</td>
<td>$[[\text{abs } \vec{x}; c] P]]<em>I = \bigcap</em>{d_{\vec{x}} \in C} [[\text{local } \vec{x}] (\text{when } c \text{ do } P \parallel !! \text{tell}(d_{\vec{x}}))]_I$</td>
</tr>
<tr>
<td>$\text{D}_{\text{CALL}}$</td>
<td>$[[p(\vec{x})]]_I = I(p(\vec{x}))$</td>
</tr>
</tbody>
</table>

Table 2: Semantic Equations for tcc and utcc constructs. In $\text{D}_{\text{ABS}}$, if $|\vec{x}| = 0$ then $T^{[\vec{x}]}$ is defined as $\{\epsilon\}$. $d_{\vec{x}}$ means $\vec{x} = \vec{t}$ (see Convention 2.2).
next \(n\) \(P\) with \(n \geq 0\). Then, every suffix of \(s\) must be quiescent for \(P\) (Equation \(\text{D}_{\text{REP}}\)).

We say that \(s\) is an \(\vec{x}\)-variant of \(s'\) if \(\exists_i s(i) = \exists_i s'(i)\) for \(i > 0\) (i.e. \(s\) and \(s'\) differ only on the information about \(\vec{x}\)). A sequence \(s\) is quiescent for \(Q = (\text{local}\ \vec{x}; c)\ P\) if there exists an \(\vec{x}\)-variant \(s'\) of \(s\) s.t. \(s'\) is quiescent for \(P\) and \(s'(1) \vdash c\). Hence, if \(P\) cannot add any information to \(s'\) then \(Q\) cannot add any information to \(s\).

The abstraction process \((\text{abs} \ x; c)\ P\) can be seen as the parallel composition \(\bigwedge_{\vec{t} \in T} (\text{when} \ c \ \text{do} \ P)[\vec{t}/\vec{x}]\) where \(T\) denotes the set of terms in the underlying constraint system. Recall that \(d_{\vec{y}}\) means \(\vec{x} = \vec{t}\) (see Convention 2.2). Given a term \(\vec{t}\), we capture the behavior of \(\text{when} \ c \ \text{do} \ P[\vec{t}/\vec{x}]\) as the process \((\text{local}\ \vec{x})(\text{tell}(d_{\vec{y}}) \ | \ \text{when} \ c \ \text{do} \ P)\). This is, the process \(\text{when} \ c \ \text{do} \ P\) is executed in a context where \(\vec{x}\) is equal to \(\vec{t}\). The information \(\vec{x} = \vec{t}\) is hidden to the environment by means of the local operator.

We then give meaning to \(\text{abs} \) processes in terms of the semantics of the previous operators and the semantics of the ask operator [29]: a sequence \(d.s\) is quiescent for \(\text{when} \ c \ \text{do} \ P\) either if \(d\) does not entail \(c\) or if \(d\) entails \(c\) and \(d.s\) is quiescent for \(P\) (Equation \(\text{D}_{\text{ASK}}\)). This way, \(s\) is quiescent for \((\text{abs} \ x; c)\ P\), if for all term \(\vec{t}\), \(s(1) \vdash c[\vec{t}/\vec{x}]\) implies that \(s\) is quiescent for \(P[\vec{t}/\vec{x}]\) (rule \(\text{D}_{\text{ABS}}\)).

Finally, the meaning of a procedure call is directly given by the interpretation \(I\).

The domain of the denotation is \(\mathbb{E} = (E, \subseteq^c)\) where \(E = \mathcal{P}(\mathcal{C}^\omega)\) and \(\subseteq^c\) is a Smyth-like ordering defined as follows: Let \(X, Y \in E\) and \(\subseteq^c\) be the preorder s.t. \(X \subseteq Y\) iff for all \(y \in Y\), there exists \(x \in X\) s.t. \(x \leq y\). \(X \subseteq^c Y\) iff \(X \subseteq Y\) and \((Y \subseteq X\) implies \(Y \subseteq X\)). The bottom of \(\mathbb{E}\) is then \(\mathcal{C}^\omega\) (the set of all the sequences). We do not consider the empty set to be part of the domain. Then, the top element is the singleton \(\{t^\omega\}\) (since \(t^\omega\) is the greatest element in \((\mathcal{C}, \subseteq)\)).

Let us elaborate on the choice of the domain above. The upward closure which is implicit in the Smyth powerdomain (in the sense that every set is equivalent to its upward closure) is necessary in order to deal correctly with the entailment of constraints (\(d \vdash c\) iff \(c \leq d\)) and with the parallel operator (intersection). This would not be possible with the Hoare or with the Egli-Milner powerdomains, which are not upward closed. If we consider for instance the Hoare powerdomain, then the fixpoint construction should start with a bottom defined as the interpretation which assigns to every process definition the empty set or the singleton \(\{t^\omega\}\). But in these interpretations the parallel composition of \(\text{tell}(c)\) with a call \(p()\) would be empty, which does not correspond to the standard meaning for these operators. A similar situation arises when considering the Egli-Milner powerdomain.

Formally, the semantics is defined as follows:

**Definition 3.1 (Concrete Semantics).** Let \(\lbrack \cdot \rbrack_I\) be defined as in Table 2. The semantics of a program \(D \ P\) is defined as the least fixpoint of the continuous operator:

\[
T_D(I)(p(\vec{y})) = [\Delta_{\vec{y}}^x P'], \text{ if } p(\vec{x}) : - P' \in D
\]

17
We shall use \([P]\) to represent \([P]_{lp(T_D)}\).

Let us exemplify the least fixpoint construction above with a system similar to that of Example 2.8.

**Example 3.2.** Assume two constraints \(\text{out}_a(\cdot)\) and \(\text{out}_b(\cdot)\), intuitively representing outputs of names on two different channels \(a\) and \(b\). Let \(\mathcal{D}\) be the following procedure definitions:

\[
\begin{align*}
\mathcal{D} &= \ p() :\text{–} \ tell(\text{out}_a(x)) \parallel \text{next} \ tell(\text{out}_a(y)) \\
&\quad q() :\text{–} (\text{abs} ; \text{out}_a(z)) \text{tell}(\text{out}_b(z)) \parallel \text{next} q() \\
&\quad r() :\text{–} p() \parallel q()
\end{align*}
\]

The procedure \(p()\) outputs on channel \(a\) the variables \(x\) and \(y\) in the first and second time-units respectively. The procedure \(q()\) resends on channel \(b\) every message received on channel \(a\). Starting from the bottom interpretation \(I_\bot\) (assigning \(C^\omega\) to each name procedure), the semantics of \(r()\) is obtained as follows:

\[
\begin{align*}
I_0 : &\quad p \rightarrow \{c,c'.s \mid c \vdash \text{out}_a(x) \text{ and } c' \vdash \text{out}_a(y)\} \\
&\quad q \rightarrow \{c_1.s \mid c_1 \vdash \text{out}_a(t) \text{ implies } c_1 \vdash \text{out}_b(t)\} \\
&\quad r \rightarrow C^\omega \cap C^\omega = C^\omega
\end{align*}
\]

\[
\begin{align*}
I_1 : &\quad p \rightarrow I_0(p) \\
&\quad q \rightarrow \{c_1,c_2.s \mid c_i \vdash \text{out}_a(t) \text{ implies } c_i \vdash \text{out}_b(t) , i=1,2\} \\
&\quad r \rightarrow I_0(p) \cap I_0(q)
\end{align*}
\]

\[
\vdots
\]

\[
\begin{align*}
I_\omega : &\quad p \rightarrow I_0(p) \\
&\quad q \rightarrow \{s \mid (s(i) \vdash \text{out}_a(t) \text{ imp. } s(i) \vdash \text{out}_b(t) \text{ for } i \geq 1\} \\
&\quad r \rightarrow I_\omega(p) \cap I_\omega(q)
\end{align*}
\]

where \(t\) denotes any term. In words, if \(s \in [r()]\) then \(s(1) \vdash \text{out}_a(x), s(2) \vdash \text{out}_a(y)\) and for \(i \geq 1\), if \(s(i) \vdash \text{out}_a(t)\) then \(s(i) \vdash \text{out}_b(t)\).

### 3.2 Semantic Correspondence

In this section we prove the semantic correspondence between the operational and the denotational semantics. Before that, it is worth noticing that unlike tcc, some utcc processes may exhibit infinite behavior during a time-unit due to the abstraction operator. Take for example a process of the form \(P = (\text{abs } x; c(x)) \text{tell}(c(x + 1))\). Under input \(c(1)\), this process will generate constraints of the form \(c(2), c(3), \ldots\), thus never producing an observable transition. This behavior will arise in the application to security in Section 5.1, where the model of the attacker may generate infinitely many messages. We shall show later that the abstract semantics allows us to restrict the number of messages generated, thus avoiding this situation.

Considering this fact, it may be the case that sequences in the input-output behavior (and then in the strongest postcondition) are finite or even the empty sequence \(\epsilon\). Nevertheless, this is not the case for all utcc process. We shall call well-terminated the processes which do not exhibit infinite internal behavior:
Definition 3.3 (Well-termination). The process $P$ is said to be well-terminated if and only if for every $s$ such that $s(i) \neq \mathbf{f}$ for each $i$, there exists $s'$ such as $(s, s') \in io(P)$.

The fragment of well-terminated processes is a meaningful one. For instance, it was shown to be enough to encode Turing-powerful formalisms in [25]. It has also found application, e.g., in multimedia interaction systems [28] and declarative interpretation of languages for structured communications [18].

Before stating the soundness theorem, we require an auxiliary result relating the strongest postcondition of the processes $Q$ and $Q[\vec{t}/\vec{x}]$. Recall that the substitution $Q[\vec{t}/\vec{x}]$ is admissible if $|\vec{x}| = |\vec{t}|$ and $x_i \neq t_i$ (see Notation 2.1).

Proposition 3.4. Let $P = (\text{abs } \vec{x}; c) Q$ and $s$ be a sequence of constraints. The following statements are equivalent.

1. $s \in sp(P)$.
2. $T' = \{ t_i \mid s(1) \vdash c[t_i/\vec{x}] \} \subseteq_{\text{fin}} T[\vec{x}]$ and for all $\vec{t} \in T'$ admissible for $\vec{x}$, $s \in sp(Q[\vec{t}/\vec{x}])$.

Proof. Let $P = (\text{abs } \vec{x}; c) Q$ and $s = c_1, c_2, c_3, \ldots$. By alpha conversion we assume that $\vec{x} \notin fu(s)$.

($\Rightarrow$) Assume that $s \in sp(P)$. Then, there exists $P_1 = P_1^1, P_2^2, \ldots, P_i^i$ such that

$$P_1 \xrightarrow{(c_1, c_1)} P_2 \xrightarrow{(c_2, c_2)} \ldots P_i \xrightarrow{(c_i, c_i)}$$

Let $\vec{t} \in T[\vec{x}]$ be an arbitrary term such that $s(1) \vdash c[\vec{t}/\vec{x}]$. Let $Q_1 = Q_1^1 = Q[\vec{t}/\vec{x}]$ and $P_1 = P_1^1$. Since $c_1 \vdash c[\vec{t}/\vec{x}]$, by rule $\text{R}_{\text{ABS}}$ we must have a derivation

$$\langle P_1, c_1 \rangle \rightarrow^* \langle P_1^1 \parallel Q_1^1, c_1 \rangle \rightarrow^* \langle P_1^m \parallel Q_1^m, c_1 \rangle \not\rightarrow$$

for some $P_1^2, \ldots, P_1^m$ and $Q_1^2, \ldots, Q_1^m$. Since $P_1^1 = P_1$ and $P_1 \xrightarrow{(c_1, c_1)} P_2$, we have $P_2 = F(P_1^m \parallel Q_1^m)$ where $F$ is the future function in Table 1. By the semantics of the parallel operator we can verify that

$$Q_1 \xrightarrow{(c_1, c_1)} Q_2 \xrightarrow{(c_2, c_2)} Q_3 \xrightarrow{(c_3, c_3)} \ldots$$

Since $Q_1 = Q[\vec{t}/\vec{x}]$ we conclude $s \in sp(Q[\vec{t}/\vec{x}])$.

($\Leftarrow$) Let $T' = \{ t_i \mid s(1) \vdash c[t_i/\vec{x}] \} \subseteq_{\text{fin}} T[\vec{x}]$ and assume that for any $\vec{t} \in T'$ we have $s \in sp(Q[\vec{t}/\vec{x}])$, i.e., we have a derivation of the form

$$Q[\vec{t}/\vec{x}] = Q_1 \xrightarrow{(c_1, c_1)} Q_2 \xrightarrow{(c_2, c_2)} Q_3 \xrightarrow{(c_3, c_3)} \ldots$$

By the rule $\text{R}_{\text{ABS}}$ we know that

$$\langle P, c_1 \rangle \rightarrow^* \langle P' \parallel \prod_{\vec{t}_i \in T'} Q[\vec{t}_i/\vec{x}], c_1 \rangle \rightarrow^* \langle P' \parallel \prod_{\vec{t}_i \in T'} Q'[\vec{t}_i/\vec{x}], c_1 \rangle \not\rightarrow$$
We conclude by noticing that if none of the $Q[t_i/x]$ above can add new information to $s$, it must be the case that $P \not \xrightarrow{(s,s)}$ and then $s \in sp(P)$.

The following theorem shows that if a (finite) sequence $s$ is in the strongest postcondition, then there exists an infinite sequence $s'$ in the denotation such that $s$ is a prefix of $s'$.

**Theorem 3.5** (Soundness). Let $[\_]$ be as in Definition 3.1. Given a program $\mathcal{D}.P$, if $s \in sp(P)$ then there exists $s'$ s.t. $s.s' \in [P]$.

**Proof.** The proof proceeds by induction on the structure of the process $P$. All the cases but $P = (\text{abs } \overline{x}; c) Q$ are the same as in $\text{ttcc}$ and proven in [8, 29, 23]. We then only prove the case for the abstraction operator.

Assume that $P = (\text{abs } \overline{x}; c) Q$ and $s \in sp(P)$. Recall that $f^\omega$ is quiescent for any process. As a mean of contradiction assume that $s' = s.f^\omega \notin [P]$. Then, there exists $t$ s.t. $s' \notin [\text{when } c \text{ do } Q][t/\overline{x}]$. Then, it must be the case that $s'(1) \vdash c[t/\overline{x}]$ and $s' \notin [Q[t/\overline{x}]]$. Since $s \in sp(P)$ and $s(1) \vdash c[t/\overline{x}]$, by Proposition 3.4, $s \in sp(Q[t/\overline{x}])$. By inductive hypothesis $s \in [Q[t/\overline{x}]]$ then a contradiction.

For the converse of the previous theorem, we have similar technical problems as in the case of $\text{ttcc}$, namely: the combination between the local and the unless operator—see [8, 23] for details. Thus, similarly to [8, 23], completeness is verified only for the following fragment of $\text{utcc}$:

**Definition 3.6** (Loc. Ind. & abs-unless fragment). We say that a process $P$ is a locally independent (resp. abstracted-unless free) iff $P$ has no occurrences of unless processes under the scope of a local (resp. abs) operator. These definitions are extended to programs $\mathcal{D}.P$ by decreeing that $P$ and all $P_i$ in $p_i(\overline{x}) \vdash P_i \in \mathcal{D}$ satisfy the conditions above.

**Theorem 3.7** (Completeness). Let $\mathcal{D}.P$ be a locally independent and abstracted-unless free program s.t. $s \in [P]$. For all prefixes $s'$ of $s$, if there exists $s''$ s.t. $(s', s'') \in io(P)$ then $s' \equiv s''$, i.e., $s' \in sp(P)$.

**Proof.** The proof proceeds by induction on the structure of the process $P$. All the cases but $P = (\text{abs } \overline{x}; c) Q$ are the same as in $\text{ttcc}$ and proven in [8, 29, 23]. We then only prove the case for the abstraction operator.

Let $P = (\text{abs } \overline{x}; c) Q$. By extensiveness we know that if $(s', s'') \in io(P)$ then $s' \leq s''$. As a mean of contradiction assume that $s \in [P]$ and there exists a prefix $s'$ of $s$ s.t. $(s', s'') \in io(P)$ and $s' < s''$ (i.e., $s' \notin sp(P)$). Then, by Proposition 3.4, there exists $\bar{t}$ s.t. $s'(1) \vdash c[\bar{t}/\overline{x}]$ and $s' \notin sp(Q[\bar{t}/\overline{x}])$. By inductive hypothesis, there is no a sequence $s''$ s.t. $s = s', s'' \in [Q[\bar{t}/\overline{x}]]$. Given that $s'$ is a prefix of $s$, $s(1) \vdash c[\bar{t}/\overline{x}]$. Since $s \in [P]$ and $s(1) \vdash c[\bar{t}/\overline{x}]$, by Equation $\mathcal{D}_{\text{ABS}}$ we have $s \in [Q[\bar{t}/\overline{x}]]$. Thus a contradiction.
Notice that completeness of the semantics holds only for the locally independent and abstracted-unless free fragment, while soundness is achieved for the whole language. In the abstract interpretation framework we develop in the next section, we require the semantics to be a sound approximation of the operational semantics and then, the restriction imposed for completeness does not affect the applicability of the framework.

4 Abstract Interpretation Framework

In this section we develop an abstract interpretation framework [6] for the analysis of utcc programs. The framework is based on the above denotational semantics, thus allowing for a compositional analysis of utcc (and then tcc) programs. The abstraction proceeds as a composition of two different abstractions: (1) we abstract the constraint system and then (2) we abstract the infinite sequences of abstract constraints. The abstraction in (1) allows us to reuse the most popular abstract domains previously defined for logic programming. Adapting those domains, it is possible to perform, e.g., groundness, freeness, type and suspension analyses of tcc and utcc programs. Furthermore, it allows us to restrict the set of terms to be considered in the Equation DABS. Thus, we can even approximate the output of a non-well terminated process as we show in Section 5.1. On the other hand, the abstraction in (2) along with (1) allows for computing the approximated output of the program in a finite number of steps.

4.1 Abstract Constraint Systems

Let us recall some notions from [12] and [34].

**Definition 4.1 (Descriptions).** Given two constraint systems

\[ C = \langle \mathcal{C}, \leq, \top, \bot, \text{Var}, \exists, d \rangle \]

\[ A = \langle \mathcal{A}, \leq, \top, \bot, \text{Var}, \exists \rangle \]

a description \((C, \alpha, A)\) consists of an abstract domain \((\mathcal{A}, \leq)\) and a monotonic abstraction function \(\alpha : \mathcal{C} \rightarrow \mathcal{A}\). We lift \(\alpha\) to sequences of constraints in the obvious way.

We shall use \(c_\kappa, d_\kappa\) to range over constraints in \(\mathcal{A}\) and \(s_\kappa, s'_\kappa\) to range over sequences in \(\mathcal{A}^\omega\) and \(\mathcal{A}^*\). Let \(\vdash\) be defined as in the concrete counterpart, i.e. \(c_\kappa \leq^\alpha d_\kappa\) iff \(d_\kappa \vdash c_\kappa\).

Following standard lines in [12, 34] we impose the following restrictions over \(\alpha\) relating the cylindrification, diagonal and lub operators of both constraints systems.

**Definition 4.2 (Correctness).** Let \(\alpha : \mathcal{C} \rightarrow \mathcal{A}\) be monotonic. We say that \(\mathcal{A}\) is upper correct w.r.t the constraint system \(\mathcal{C}\) if for all \(c \in \mathcal{C}\) and \(x, y \in \text{Var}\):

1. \(\alpha(\exists_x c) = \exists^2 \alpha(c)\).
2. \( \alpha(d_{xy}) = d_{xy}^\alpha \).
3. \( \alpha(c \sqcup d) \vdash^\alpha \alpha(c) \sqcup^\alpha \alpha(d) \).

In the example below we illustrate an abstract domain for the groundness analysis of tcc programs. Here we give just an intuitive description of it. We shall elaborate more on this domain and its applications in Section 5.2.

**Example 4.3.** Let the Herbrand constraint system (Hcs) [30] be the concrete domain. In Hcs, a first-order language \( \mathcal{L} \) with equality is assumed. The entailment relation is that one expects from equality, e.g., \( [x]y = [a]z \) must entail \( x = a \) and \( y = z \). As abstract constraint system, let constraints be propositional formulae representing groundness information as in \( x \land (y \leftrightarrow z) \) that means, \( x \) is a ground variables and, \( y \) is ground if and only if \( z \) is ground. In this setting, \( \alpha(x = [a]) = x \) (i.e., \( x \) is a ground variable). Furthermore, \( \alpha(x = [a]y) = x \leftrightarrow y \) meaning \( x \) is ground if and only if \( y \) is ground.

We conclude this section by defining when an “abstract” constraint approximates a concrete one.

**Definition 4.4 (Approximations).** Let \( A \) be upper correct w.r.t \( C \) and \((C, \alpha, A)\) be a description. Given \( d_\kappa = \alpha(d) \), we say that \( d_\kappa \) is the best approximation of \( d \). Furthermore, for all \( c_\kappa \leq^\alpha d_\kappa \) we say that \( c_\kappa \) approximates \( d \) and we write \( c_\kappa \propto d \). This definition is extended to sequences of constraints in the obvious way.

### 4.2 Abstract Semantics

Starting from the semantics in Section 3, we develop here an abstract semantics which approximates the observable behavior of a program and is adequate for modular data-flow analysis.

Given a description \((C, \alpha, A)\), we choose as concrete domain \( \mathbb{E} = (E, \subseteq^\alpha) \) as defined in Section 3. The abstract domain is \( \mathbb{A} = (A, \subseteq^\alpha) \) where \( A = \mathcal{P}(A^\alpha) \) and \( \subseteq^\alpha \) is defined similarly to \( \subseteq^c \): Let \( X, Y \in A \) and \( \subseteq^\alpha \) be the preorder s.t. \( X \subseteq^\alpha Y \) iff for all \( y \in Y \), there exists \( x \in X \) s.t. \( x \leq^\alpha y \). \( X \subseteq^\alpha Y \) iff \( X \subseteq^\alpha Y \) and \( Y \subseteq^\alpha X \) implies \( Y \subseteq X \). The bottom and top of this domain are, similar to the concrete domain, \( A^\alpha \) and \( \{f^\alpha : f \in F \} \) respectively where \( f^\alpha = \alpha(f) \).

The semantic equations are given in Table 3. We shall dwell a little upon the description of the rules \( A_{\text{LOC}}, A_{\text{ASK}}, A_{\text{ABS}} \) and \( A_{\text{UNL}} \). The other cases are self-explanatory.

For the case of the \( A_{\text{LOC}} \), the notion of \( \vec{x} \)-variant is the same as in the concrete semantics. Here, a sequences \( s_\kappa \) and \( s'_\kappa \) of (abstract) constraints are \( \vec{x} \)-variant if \( \exists^\alpha_s(i) = \exists^\alpha_{s'}(i) \) for \( i > 0 \).

We notice that from the fact \( \alpha(d) \vdash^\alpha \alpha(c) \) we cannot conclude \( d \vdash c \). For example, let \( d = (x = 1) \), \( c = (x = 2) \) and \( \text{iff} (\cdot) \) be as in Example 4.3. We have \( \text{iff} (x, []) \vdash^\alpha \text{iff} (x, []) \) but \( x = 1 \not\vdash x = 2 \). Then, the equation \( A_{\text{ASK}} \) cannot be obtained from the equation \( D_{\text{ASK}} \) by simply replacing the condition \( d \vdash c \) with \( d_\kappa \vdash^\alpha \alpha(c) \). We thus follow [34, 11, 12] for the abstract semantics of the ask
\begin{align*}
\text{A\text{SKIP}} \ & \quad \left[\text{skip}\right]_X^\alpha = \mathcal{A}^\omega \\
\text{A\text{TELL}} \ & \quad \left[\text{tell}(c)\right]_X^\alpha = \{d_\kappa.s_\kappa \in \mathcal{A}^\omega \mid d_\kappa \vdash^\alpha \alpha(c)\} \\
\text{A\text{PAR}} \ & \quad \left[P \parallel Q\right]_X^\alpha = \left[P\right]_X^\alpha \cap \left[Q\right]_X^\alpha \\
\text{A\text{NEXT}} \ & \quad \left[\text{next }P\right]_X^\alpha = \{d_\kappa.s_\kappa \in \mathcal{A}^\omega \mid s_\kappa \in \left[P\right]_X^\alpha\} \\
\text{A\text{UNL}} \ & \quad \left[\text{unless } c \text{ next }P\right]_X^\alpha = \mathcal{A}^\omega \\
\text{A\text{REP}} \ & \quad \left[!P\right]_X^\alpha = \{s_\kappa \in \mathcal{A}^\omega \mid \text{for all } s'_\kappa, w_\kappa \text{ s.t. } s_\kappa = w_\kappa.s'_\kappa, \ s'_\kappa \in \left[P\right]_X\} \\
\text{A\text{LOC}} \ & \quad \left[\text{(local } \vec{x}; c)P\right]_X^\alpha = \{s_\kappa \in \mathcal{A}^\omega \mid \text{there is a } \vec{x}\text{-variant } s'_\kappa \text{ of } s_\kappa \text{ s.t. } s'_\kappa(1) \vdash^\alpha \alpha(c) \text{ and } s'_\kappa \in \left[P\right]_X\} \\
\text{A\text{ASK}} \ & \quad \left[\text{when } c \ 	ext{do } P\right]_X^\alpha = \{d_\kappa.s_\kappa \in \mathcal{A}^\omega \mid d_\kappa \vdash^\alpha c\} \\
\cup \{d_\kappa.s_\kappa \in \mathcal{A}^\omega \mid d_\kappa \vdash^\alpha c \text{ and } d_\kappa.s_\kappa \in \left[P\right]_X^\alpha\} \\
\text{A\text{ABS}} \ & \quad \left[\text{(abs } \vec{x}; c)P\right]_X^\alpha = \bigcap_{d_\vec{x} \in \mathcal{A}} \left[\text{(local } \vec{x}) \ (\text{when } c \ 	ext{do } P \parallel !!\text{tell}(d_{\vec{x}}))\right] \\
\text{where } \alpha(d_{\vec{x}}) = d_{\vec{x}}^\alpha \\
\text{A\text{CALL}} \ & \quad \left[p(\vec{x})\right]_X^\alpha = X(p(\vec{x}))
\end{align*}

Table 3: Abstract denotational semantics for utcc. \(\vdash^\alpha\) in Definition 4.5
operator. Intuitively, the Equation A\text{ASK} says that if the abstract computation proceeds, then every concrete computation it approximates proceeds too. This is formalized by the relation \( d_\kappa \vdash_A c \), meaning that the abstract constraint \( d_\kappa \) entails \( c \) if all concrete constraint approximated by \( d_\kappa \) entails \( c \).

**Definition 4.5.** Given \( d_\kappa \in A \) and \( c \in C \), \( d_\kappa \vdash_A c \) iff for all \( c' \in C \) s.t. \( d_\kappa \propto c' \), \( c' \vdash c \).

Equation A\text{ABS} is similar to D\text{ABS} in the concrete semantics but the intersection is computed over the abstract constraints \( d_{\bar{x}}^\alpha \). Notice that it could be the case that several concrete constraint \( d_{\bar{x}} \) satisfies \( \alpha(d_{\bar{x}}) = d_{\bar{x}}^\alpha \). The choice of the concrete constraint is irrelevant due to the properties relating the concrete and the abstract operators in the constraint system (see Definition 4.2). We shall prove this fact later in Proposition 4.8.

One could think of defining the abstract semantics of the unless operator similarly to that of the when operator as follows:

\[
\begin{align*}
\text{unless } c \text{ next } P]_X^\alpha &= \{ d_\kappa.s_\kappa \mid d_\kappa \not\vdash_A c \text{ and } s_\kappa \in [P]_X^\alpha \} \\
&\quad \cup \{ d_\kappa.s_\kappa \mid d_\kappa \vdash_A c \}
\end{align*}
\]

Nevertheless, this equation leads to a non safe approximation of the concrete semantics. This is because from \( d_\kappa \not\vdash_A c \) we cannot conclude that \( d \not\vdash c \) where \( \alpha(d) = d_\kappa \). To see this, take \( Q = \text{unless } c \text{ next } P \) and \( d \) s.t. \( d \vdash c \). Then \( d.t^\omega \in [Q]_X^\alpha \). Take \( c' \) s.t. \( c' \not\vdash c \) and \( \alpha(c') \leq \alpha \alpha(d) = d_\kappa \). Then, \( d_\kappa \propto c' \) and \( d_\kappa \vdash_A c \). If \( P \neq \text{skip} \), we have \( d_\kappa.t^\omega \not\in [Q]_X^\alpha \).

Defining \( d_\kappa \not\vdash_A c \) as true iff \( c' \not\vdash c \) for all \( c' \) approximated by \( d_\kappa \) does not solve the problem. This is because under this definition, \( d_\kappa \not\vdash_A c \) would not hold for any \( d_\kappa \) and \( c \); if entails all the concrete constraints and it is approximated for every abstract constraint.

Therefore, we cannot give a better (safe) approximation of the semantics of \( Q = \text{unless } c \text{ next } P \) than \( A^\omega \), i.e. \( [Q]_X^\alpha = [\text{skip}]_X \) (Rule A\text{UNL}).

We define formally the abstract semantics as follows:

**Definition 4.6.** Let \( [\cdot]_X^\alpha \) be as in Table 3. The abstract semantics of a program \( D.P \) is defined as the least fixpoint of the following continuous semantic operator:

\[
T^D_B(X)(p(\bar{x})) = ([D]_X^\omega P')_X \quad \text{if } p(\bar{y}) : P' \in D
\]

We shall use \( [P]_X^\alpha \) to denote \( [P]_X^\alpha \) _{lfp}(T^D_B)_.

### 4.3 Soundness of the Approximation

This section proves the correctness of the abstract semantics in Definition 4.6. We first establish a Galois insertion between the concrete and the abstract domains. We shall use \( \alpha \) in \( \alpha(E) \) to avoid confusion with \( \alpha \) in \((C, \alpha, A)\).

**Proposition 4.7** (Galois Insertion). Let \( E = (P(C), \subseteq^C) \), \( A = (P(A), \subseteq^C) \). If \( A \) is upper correct wrt \( C \) using \( \alpha \), then there exists an upper Galois insertion \( E \leftarrow^\alpha \to A \).
Proof. The proof follows as in [34, Proposition 3]. Consider

\[
\begin{align*}
\alpha(E) &: \{ \alpha(s) \mid s \in E \} \\
\gamma(A) &: \{ s \mid \alpha(s) \in A \}
\end{align*}
\]

Linearity of \( \alpha \) implies additivity, because in this case set union is also the lub of the lattices. Moreover \( \alpha \)-surjectivity on \( A \) implies \( \alpha \)-surjectivity on \( A \). We conclude by the fact that any additive and surjective function between complete lattices defines a Galois insertion [5]. \( \square \)

We can lift in the standard way to abstract interpretations [6] the approximation induced by the above abstraction. Let \( I : ProcHeads \to E \), \( X : ProcHeads \to A \) and \( p \) a procedure name. Then

\[
\begin{align*}
\alpha(I)(p) &: \{ \alpha(s) \mid s \in I(p) \} \\
\gamma(X)(p) &: \{ s \mid \alpha(s) \in X(p) \}
\end{align*}
\]

Before stating the soundness of the abstraction, let us first prove that the choice of the concrete constraint \( d_{\vec{x}t} \) s.t., \( \alpha(d_{\vec{x}t}) = d_{\vec{x}t} \) in the Equation \( A_{\text{ABS}} \) is irrelevant.

**Proposition 4.8.** Let \( \llbracket \cdot \rrbracket_X \) be as in Table 3 and \( d_{\vec{x}t}, d_{\vec{x}t}' \) such that \( \alpha(d_{\vec{x}t}) = \alpha(d_{\vec{x}t}') \). For every process \( P \),

\[
\llbracket (\text{local} \vec{x}) (P \parallel !\text{tell}(d_{\vec{x}t})) \rrbracket_\alpha = \llbracket (\text{local} \vec{x}) (P \parallel !\text{tell}(d_{\vec{x}t}')) \rrbracket_\alpha
\]

**Proof.** The proof is immediate from the fact that \( \alpha(d_{\vec{x}t}) = \alpha(d_{\vec{x}t}') \) and then, \( \llbracket !\text{tell}(d_{\vec{x}t}) \rrbracket_\alpha = \llbracket !\text{tell}(d_{\vec{x}t}') \rrbracket_\alpha \) \( \square \)

The following theorem states that concrete computations are safely approximated by the abstract semantics.

**Theorem 4.9** (Soundness of the approximation). Let \( A \) be upper correct w.r.t. \( C \) and \( (C, \alpha, A) \) be a description. Let \( \llbracket \cdot \rrbracket \) and \( \llbracket \cdot \rrbracket_\alpha \) be respectively as in Definitions 3.1 and 4.6. Given a utcc program \( D.P \), if \( s \in \llbracket P \rrbracket \) then \( \alpha(s) \in \llbracket P \rrbracket_\alpha \).

**Proof.** The proof proceeds by induction on the structure of \( P \). Assume that \( s \in \llbracket P \rrbracket \) and that \( s_\kappa = \alpha(s) \). In each case we shall prove that \( s_\kappa \in \llbracket P \rrbracket_\alpha \).

- \( P = \text{skip} \). This case is trivial since \( A^\omega \) approximates every possible concrete computation.

- \( P = \text{tell}(c) \). If \( s \in \llbracket P \rrbracket \) then \( s(1) \vdash c \). Then, it must be the case that \( s_\kappa(1) \vdash_\alpha c \) and we conclude \( \alpha(s) \in \llbracket P \rrbracket_\alpha \).

- \( P = Q \parallel R \). We must have that \( s \in \llbracket Q \rrbracket \) and \( s \in \llbracket R \rrbracket \). By inductive hypothesis we know that \( s_\kappa \in \llbracket Q \rrbracket_\alpha \) and \( s_\kappa \in \llbracket R \rrbracket_\alpha \) and then, \( s_\kappa \in \llbracket Q \parallel R \rrbracket_\alpha \).
\[ P = \text{next } Q. \] Let \( s' = s(2).s(3)\ldots, s'_k = s_k(2).s_k(3)\ldots, \) We know that \( s' \in [Q] \) and by inductive hypothesis \( s'_k \in [Q]^{\alpha}. \) We then conclude \( s_k \in [P]^{\alpha}. \)

\[ P = \text{unless } c \text{ next } Q. \] This case is trivial since \( A \) approximates every possible concrete computation.

\[ P = ! Q. \] We now that every suffix of \( s' \) of \( s \) is in \([Q]\). By induction the corresponding suffix of \( s'_k \) of \( s_k \) is in \([Q]\) and we conclude \( s_k \in [P]^{\alpha}. \)

\[ P = \text{when } c \text{ do } Q. \] Assume that \( s(1) \vdash c \) and then \( s \in [Q] \). We can have either \( s_k \vdash_A c \) or \( s_k \not\vdash_A c \). In the first case, since \( s \in [Q] \) by induction \( s_k \in [Q]^{\alpha} \) and then \( s_k \in [P]^{\alpha}. \) If \( s_k \not\vdash_A c \), then trivially \( s_k \in [P]^{\alpha}. \)

Assume now that \( s(1) \not\vdash c \). Then, it must be the case that \( s_k(1) \not\vdash_A c \) and then trivially \( s_k \in [P]^{\alpha}. \)

\[ P = (\text{abs } \vec{x}; c) Q. \] If \( s(1) \vdash c[\vec{t}/\vec{x}] \) for some \( \vec{t} \in T \) then

\[ s \in [\text{(local } \vec{x}) (\text{when } c \text{ do } Q \| ! \text{tell}(\vec{d}[\vec{t}]))] \]

By an analysis similar to the case of \( P = \text{when } c \text{ do } Q \) we can show that

\[ s_k \in [\text{(local } \vec{x}) (\text{when } c \text{ do } Q \| ! \text{tell}(\vec{d}[\vec{t}]))]^{\alpha} \]

We conclude by noticing that if there exist \( d[\vec{t}] \) and \( d'[\vec{t}] \) st \( \alpha(d[\vec{t}]) = \alpha(d'[\vec{t}]) \) then, by Proposition 4.8, it must be the case that

\[ s_k \in [\text{(local } \vec{x}) (\text{when } c \text{ do } Q \| ! \text{tell}(\vec{d}[\vec{t}]))]^{\alpha} \]

iff \[ s_k \in [\text{(local } \vec{x}) (\text{when } c \text{ do } Q \| ! \text{tell}(\vec{d}[\vec{t}]))]^{\alpha} \]

\[ \square \]

4.4 Obtaining a finite analysis

We shall focus our attention on a special class of abstract interpretations obtained from what we call a sequence abstraction mapping possibly infinite sequences of (abstract) constraints into finite ones.

Definition 4.10 (Sequence Abstraction). A sequence abstraction \( \tau : \mathcal{A}^\omega \cup \mathcal{A}^* \rightarrow \mathcal{A}^* \) is a reductive \( \tau(s_k) \leq^\alpha s_k \) and monotonic operator. We lift \( \tau \) to sets of sequences in the obvious way: \( \tau(S_k) = \{s_k \mid s_k = \tau(s'_k) \text{ and } s'_k \in S\}. \)

The composition of the abstraction \( \alpha \) in the description \((C, \alpha, A)\) and \( \tau \) above, leads to a noetherian abstract domain \( \mathcal{A} \) (i.e., there are no infinite ascending chains). This guarantees that the fixpoint of the abstract semantics can be reached in a finite number of iterations.

A simple albeit useful instance of the abstraction \( \tau \) is the sequence \( k \) cut. This abstraction approximates a sequence by projecting it to its first \( k \) elements.
5 Applications

This section describes three specific abstract domains as instances of our framework. 1) We abstract a constraint system dealing with cryptographic primitives. Here we use the abstract semantics to exhibit a secrecy flaw in a security protocol programmed in utcc. 2) We tailor two abstract domains from logic programming to perform a groundness and a type analysis of a tcc program. We then apply this analysis in the verification of a reactive system in tcc. 3) We propose a simple constraint system to detect whether a process is suspension-free, i.e., it the systems does not suspend.

5.1 Analyzing Secrecy Properties

In [26] the authors showed that the ability of utcc to express mobile behavior, as in Example 2.8, allows for the modeling of security protocols. Nevertheless, the model of the attacker is a non-well terminated process thus producing infinitely many internal transitions. In this section we show how a suitable abstraction of the cryptographic constraint system in [26] may allow us to bound the number of messages to be considered in a secrecy analysis. Then we can automatically exhibit a well-known flaw in a security protocol.

We consider a constraint system whose terms are the possible messages generated during the execution of the protocol. Cryptographic primitives are represented as functions over such terms.

Definition 5.1. Let $\Sigma$ be a signature with constant symbols in $\mathcal{P} \cup \mathcal{K}$, function symbols $\text{enc}$, pair, priv and pub and predicates $\text{out}(\cdot)$ and $\text{secret}(\cdot)$. Constraints in $C$ are first-order formulae built over $\Sigma$.

Intuitively, $\mathcal{P}$ and $\mathcal{K}$ represent respectively the principal identifiers, e.g. $A, B, \ldots$ and keys $k, k'$. We use $\{m\}_k$ and $\{m_1, m_2\}$ respectively, for $\text{enc}(m, k)$ (encryption) and $\text{pair}(m_1, m_2)$ (composition). For the generation of keys, $\text{priv}(k)$ stands for the private key associated to the value $k$ and $\text{pub}(k)$ for its public key.

As standardly done in the verification of security protocols, a Dolev-Yao attacker [10] is presupposed, able to eavesdrop, disassemble, compose, encrypt and decrypt messages with available keys. The attacker can be modeled as follows:

- **Disam** := $(\text{abs } x, y; \text{out}(\{x, y\})) \text{tell}(\text{out}(x) \sqcup \text{out}(y))$
- **Comp** := $(\text{abs } x, y; \text{out}(x) \sqcup \text{out}(y)) \text{tell}(\text{out}(\{x, y\}))$
- **Enc** := $(\text{abs } x, y; \text{out}(x) \sqcup \text{out}(y)) \text{tell}(\text{out}(\{x\}_\text{pub}(y)))$
- **Dec** := $(\text{abs } x, k; \text{out}(\text{priv}(k)) \sqcup \text{out}(\{x\}_\text{pub}(k))) \text{tell}(\text{out}(x))$
- **Pers** := $(\text{abs } x; \text{out}(x)) \text{next tell}(\text{out}(x))$
- **Spy** := Disam $\parallel$ Comp $\parallel$ Enc $\parallel$ Dec $\parallel$ Pers $\parallel$ nextSpy

The first four processes represent the abilities previously mentioned. Since the final store is not automatically transferred to the next time-unit, the process $\text{Pers}$ represents the ability to remember all messages posted so far. Notice
Figure 2: Needham-Schroeder Protocol

that the processes $\text{Comp}$ and $\text{Enc}$ generate an infinite number of messages. For instance, if the current store is $\text{out}(m)$, the process $\text{Comp}$ will add the constraints $\text{out}([m, m])$, $\text{out}([m, \{m, m\}])$ and so on.

To deal with this state explosion problem, the number of messages to be considered can be bound (see e.g. [32]). We formalize this with the following abstraction.

**Definition 5.2** (Abstract secure constraint system). Let $M$ be the set of (terms) messages in the constraint system in Definition 5.1 and $\lg : M \rightarrow \mathbb{N}$ be defined as:

$$\lg(m) = \begin{cases} 
0 & \text{if } m \in \mathbb{P} \cup \mathbb{K} \cup \text{Var} \\
1 + \lg(m_1) + \lg(k) & \text{if } m = \{m_1\}_k \\
1 + \lg(m_1) + \lg(m_2) & \text{if } m = \{m_1, m_2\}
\end{cases}$$

Let $\alpha_\kappa$ be defined as follows:

- $\alpha_{\kappa}(\text{out}(m)) = \text{out}(m)$ if $\lg(m) \leq \kappa$
- $\alpha_{\kappa}(\exists_x \text{out}(x)) = \exists_x \text{out}(x)$ if $\lg(m) > \kappa$
- $\alpha_{\kappa}(\text{secret}(m)) = \text{secret}(m)$ if $\lg(m) \leq \kappa$
- $\alpha_{\kappa}(\exists_x \text{secret}(x)) = \exists_x \text{secret}(x)$ if $\lg(m) > \kappa$
- $\alpha_{\kappa}(d_{xt}) = d_{xt}$ if $\lg(t) \leq \kappa$
- $\alpha_{\kappa}(d_{xt}) = t$ if $\lg(t) > \kappa$

The abstract operators $\sqcup^{\alpha_\kappa}$, $\exists^{\alpha_\kappa}$ and $d^{\alpha_\kappa}_{xt}$ are just like in the concrete constraint system (see Definition 5.1). So we omit the superscript $\alpha_\kappa$.

As the reader may have noticed, the previous abstraction is just a depth $- \kappa$ abstraction as typically done in logic programming (see e.g., [31]).

### 5.1.1 Secrecy Analysis

To illustrate the secrecy analysis, we consider the Needham-Schröder (NS) protocol described in [22]. This protocol aims at distributing two nonces in a secure way, whose purpose is to ensure the freshness of messages.

Figure 2(a) shows the steps of NS where $m$ and $n$ represent the nonces generated, respectively, by the principals $A$ and $B$. The protocol initiates when $A$ sends to $B$ a new nonce $m$ together with her own agent name $A$, both encrypted with $B$’s public key. When $B$ receives the message, he decrypts it with his secret private key. Once decrypted, $B$ prepares an encrypted message for $A$ that contains a new nonce together with the nonce received from $A$ and his
name B. Acting as responder, B sends it to A, who recovers the clear text using her private key. A convinces herself that this message really comes from B by checking whether she got back the same nonce sent out in the first message. If that is the case, she acknowledges B by returning his nonce. B does a similar test.

Assume the execution of the protocol between A, B and C in Figure 2(b). Here C is an intruder, i.e. a malicious agent playing the role of a principal in the protocol. As it was shown in [19], this execution leads to a secrecy flaw where the attacker C can reveal n which is meant to be known only by A and B.

In this execution, the attacker replies to B the message sent by A and B believes that he is establishing a session key with A. Since the attacker knows the private key priv(C), she can decrypt the message \(\{n\}_{pub(C)}\) and n is not longer a secret between B and A as intended.

We model the behavior of the initiator and the responder in our running example as follows:

\[
\text{Init}(i, r) = \bang (\text{local} m) \quad \text{tell(out(\{m, i\}_{pub(r)}))} \\
\quad \| \text{next (abs x; out(\{m, x, r\}_{pub(i)})) tell(out(\{x\}_{pub(r)}))}
\]

\[
\text{Resp}(r) = \bang (\text{abs x, u; out(\{x, u\}_{pub(r)})) next} \\
\quad \text{(local n) ! tell(secret(n)) || tell(out(\{m, n, r\}_{pub(u)}))}
\]

\[
\text{SpKn} = \|_{A \in P} \bang \text{tell(out(A))} \\
\|_{A \in P} \bang \text{tell(out(pub(A)))} \\
\|_{A \in \text{Bad}} \bang \text{tell(out(priv(A)))}
\]

Nonce generation is modeled by \text{local} constructs and the process \text{tell(out(m))} models the broadcast of the message m. Inputs (message reception) are modelled by \text{abs} processes. By adding the constraint \text{secret(n)} in the process \text{Resp}, we state that the nonce n cannot be revealed.

Notice that both, Init and Resp are replicated. This models the fact that principal may initiate different sessions during the execution of the protocol.

Finally, the process SpKn corresponds to the initial knowledge the attacker has. Given the set of principals of the protocol \(P\), the spy knows all the names of the principals in the protocol and their public keys. He also knows a set of private keys denoted by Bad. This set represents the leaked keys, for example, the private key of C in the configuration of Figure 2 (b) exhibiting the secrecy flaw.

The following process models the execution of the protocol in Figure 2 (b).

\[
\text{NS} = \text{Spy} \| \text{SpKn} \| \text{Init}(A, C) \| \text{Resp}(B)
\]

Let \(\alpha\) be the composition of the abstraction \(\alpha_3\) (as in Definition 5.2) and \(\tau = \text{sequence}(2)\). The abstract semantics of \(\text{NS}\) is computed as follows (we omit certain details for the sake of presentation):
\[
\begin{align*}
\text{init}(i, r) &= \{ c_1, c_2 \mid c_1 \vdash \text{out}(\{m_1, i\}_{\text{pub}(r)}), \ c_2 \vdash \text{out}(\{i, m_2\}_{\text{pub}(r)}) \} \\
\text{resp}(i) &= \{ c_1, c_2 \mid \text{forall } x, \text{if } c_2 \vdash \text{out}(\{m_1, x, r\}_{\text{pub}(i)}) \text{ then } c_2 \vdash \text{out}(\{x\}_{\text{pub}(r)}) \} \\
\text{Spy} &= \{ c_1, c_2 \mid \text{forall } x, y, \text{if } c_1 \vdash \text{out}(x, y) \text{ then } c_2 \vdash \text{secret}(n_1) \cup \text{out}(\{m_1, n_1, r\}_{\text{pub}(i)}) \} \\
\text{SpKn} &= \{ c_1, c_2 \mid \text{forall } x, y, \text{if } c_1 \vdash \text{out}(x, y) \text{ then } c_1 \vdash \text{out}(\{x\}_{\text{pub}(y)}) \} \\
\text{NS} &= \{ [\text{Spy}] \cap [\text{SpKn}) \cap \text{init}(A, C) \} \cup \text{out}(\{\text{out}(n_1)\} \}
\end{align*}
\]

Let \( s_k = c_1, c_2 \) be the minimum sequence (wrt the ordering of constraints) such that \( s_k \in [\text{NS}]^{\#} \). We conclude that there exist \( n_1, m_1 \) such that
\[
\begin{align*}
c_1 &\vdash \text{out}(\{m_1, A\}_{\text{pub}(C)}) \cup \text{out}(\{priv(C)\}) \cup \text{out}(\{m_1, A\}_{\text{pub}(B)}) \\
c_2 &\vdash \text{out}(\{m_1, n_1, A\}_{\text{pub}(A)}) \cup \text{out}(\{n_1, A\}_{\text{pub}(B)}) \cup \text{out}(\{\text{secret}(n_1)\}) \cup \text{out}(\{\text{out}(n_1)\})
\end{align*}
\]

This then allows us detect the attack to the NS protocol depicted in Figure 2(b). Notice the importance of having here a finite cut of the messages (terms) generated by the process Spy. This is required to restrict the set of terms considered by the abs operator and approximate the behavior of the protocol.

### 5.1.2 A prototypical implementation

We have implemented our framework and the abstract domain for secrecy analysis in a prototype developed in Oz (\url{http://www.mozart-oz.org/}). This tool is described in \url{http://www.lix.polytechnique.fr/~colarte/prototype/} and allows the user to compute the least element of the abstract semantics of a process \( P \). The current implementation supports constraints as those used in the cryptographic constraint system (e.g., predicates of the form \( \text{out}(\text{enc}(x, \text{pub}(y))) \)). It implements the sequence(\( \kappa \)) and depth(\( \kappa \)) abstractions where \( \kappa \) and \( \kappa' \) are parameters specified by the user. We started by implementing the secrecy analysis since one of the most appealing application of the utcc calculus is the modeling and verification of security protocols.

The reader may find in the URL above a deeper description of the tool and some examples. Furthermore, we provide the program excerpts to compute the output of the secrecy analysis for the Needham-Schroeder protocol [19] and also for the Denning-Sacco key distribution protocol [9].

### 5.2 Groundness Analysis

In logic programming, one useful analysis is groundness. It aims at determining if a variable will always be bound to a ground term. This information can be used, e.g., for optimization in the compiler (to remove code for suspension checks at runtime) or as base for other data flow analyses such as independence analysis, suspension analysis, etc. Here we present a groundness analysis for a tcc program. To this end, we shall use as concrete domain the Herbrand constraint system (Hcs) [30] (see Example 4.3).
Assume the following procedure definitions:

\[
\begin{align*}
gen_a(x) &= (\text{local} \ x') (\text{!tell}(x = [a|x'])) \parallel \\
&\quad \text{when} \ go_a \ \text{do} \ \text{next} \ \gen_a(x') \parallel \\
&\quad \text{when} \ stop_a \ \text{do} !\text{tell}(x' = [])) \\

gen_b(x) &= (\text{local} \ x') (\text{!tell}(x = [b|x'])) \parallel \\
&\quad \text{when} \ go_b \ \text{do} \ \text{next} \ \gen_b(x') \parallel \\
&\quad \text{when} \ stop_b \ \text{do} !\text{tell}(x' = [])) \\
append(x, y, z) &= \text{when} \ x = [] \ \text{do} !\text{tell}(y = z) \parallel \\
&\quad \text{when} \exists x', x'' (x = [x' | x'']) \ \text{do} \\
&\quad \quad (\text{local} \ x', x'', z') (\text{!tell}(x = [x' | x'']) \parallel \\
&\quad \quad \quad !\text{tell}(z = [x' | z']) \parallel \\
&\quad \quad \quad \text{next} \ append(x'', y, z'))
\end{align*}
\]

The process \( \gen_a(x) \) adds to the stream \( x \) an “\( a \)” when the environment provides \( go_a \) as input. Under input \( stop_a \), it terminates the stream binding its tail to the empty list. Let \( x_{-go_a} \) and \( x_{-stop_a} \) be two distinct variables different from \( x \) and \( x' \), and \( go_a \) and \( stop_a \) be the constraints \( x_{-go_a} = [] \) and \( x_{-stop_a} = [] \). The process \( \gen_b \) can be explained similarly. The process \( \append(x, y, z) \) binds \( z \) to the concatenation of \( x \) and \( y \).

We shall use \( \pos \) [1] as abstract domain for the groundness analysis. In \( \pos \), positive propositional formulae represent groundness dependencies among variables. Elements in the domain are ordered by logical implication. For instance, \( \alpha(x = [y | z]) = x \leftrightarrow (y \land z) \) means \( x \) is ground if and only if both \( y \) and \( z \) are grounds.

Notice that \( \pos \) does not distinguish between the empty list and a list of ground terms, i.e., \( d_\alpha(x = []) = \alpha_G(x = [a]) = x \). Therefore, we have \( d_\alpha \nvdash_A x = [] \) (see Definition 4.5). This affects the precision of the analysis. Take for example the process \( P = \text{tell}(x = []) \parallel \text{when} \ x = [] \ \text{do} \ \text{tell}(y = []) \). One can show that the formula \( x \) (\( x \) is ground) belongs to the semantics of \( \text{when} \ x = [] \ \text{do} \ \text{tell}(y = []) \) (since \( x \vdash_A x = [] \)) and then, the information added by \( \text{tell}(y = []) \) is lost.

We can improve the accuracy of the previous analysis by using the abstract domain defined in [3] to derive information about type dependencies on terms. The abstraction is defined as follows:

\[
\alpha_T(x = t) = \begin{cases} 
\text{list}(x, x_s) & \text{if } t = [y \mid x_s] \text{ for some } y \\
\text{nil}(x) & \text{if } t = []
\end{cases}
\]

Informally, \( \text{list}(x, x_s) \) means \( x \) is a list iff \( x_s \) is a list and \( \text{nil}(x) \) means \( x \) is the empty list. If \( x \) is a list we write \( \text{list}(x) \). Elements in the domain are ordered by logical implication.

The following constraint systems result from the reduced product ([6]) of the previous abstract domains. This domain allow us to capture groundness and type dependencies information.
Definition 5.3 (Groundness-type Constraint System). Let
\[ \mathbf{AGT} = \langle \mathcal{A}, \leq^\alpha, \sqcup^\alpha, \top^\alpha, \bot^\alpha, \text{Var}, \exists^\alpha, d^\alpha \rangle \]
Elements \( g, g' \ldots \in \mathcal{A} \) are tuples \( \langle c_\kappa, d_\kappa \rangle \) where \( c_\kappa \) corresponds to groundness information and \( d_\kappa \) to type dependency information. The operations \( \sqcup^\alpha, \exists^\alpha \) correspond to logical conjunction and existential quantification over the components of the tuple. The diagonal elements \( d_{x,y}^\alpha \) are defined as \( \langle \alpha_G(x = y), \alpha_T(x = y) \rangle \) and similarly for \( d_{x}^\alpha \). Finally, \( \langle c_\kappa, d_\kappa \rangle \leq^\alpha \langle c'_\kappa, d'_\kappa \rangle \) if \( c'_\kappa \Rightarrow c_\kappa \) and \( d'_\kappa \Rightarrow d_\kappa \).

The abstraction function from the Herbrand constraint system to \( \mathbf{AGT} \) is then defined as expected:
\[ \alpha_G(c) = g = \langle \alpha_G(c), \alpha_T(c) \rangle \]

Now we are ready to analyze the program presented above. We shall assume an abstraction \( \alpha \) resulting from the composition of the abstractions \( \alpha_G \) and \( \text{sequence}_\kappa \). In the following equations we assume the variables \( x_1, x_2, \ldots \) to be existentially quantified. For the sake of readability, we omit the quantification over those variables.

\[ [\text{gen}_\alpha(x)]^\alpha = \{ g_1 \cdot s \mid g_1 \vdash^\alpha_G \langle \text{stop}_a, \text{nil}(\text{stop}_a) \rangle \text{ then } g_1 \vdash^\alpha_G \langle x \land x_1, \text{list}(x) \rangle \} \]
\[ \cap \{ g_2 \cdot g_2 \cdot s \mid g_2 \vdash^\alpha_G \langle \text{stop}_a, \text{nil}(\text{stop}_a) \rangle \text{ then } g_2 \vdash^\alpha_G \langle x \land x_1 \land x_2, \text{list}(x) \rangle \} \)
\[ \cap \ldots \]
\[ \cap \{ g_1 \ldots g_\kappa \cdot s \mid g_\kappa \vdash^\alpha_G \langle \text{stop}_a, \text{nil}(\text{stop}_a) \rangle \text{ then } g_\kappa \vdash^\alpha_G \langle x \land \ldots \land x_\kappa, \text{list}(x) \rangle \} \]

This intuitively means that \( x \) is a ground list whenever \( \text{stop}_a \) is the empty list. The semantics is similarly computed for the process \( \text{gen}_b(x) \).

For the case of the \( \text{append} \) process, notice that we have two guards: \( x = [] \) and \( \exists_{a,x_1}(x = [a|x_1]) \). In the first case we know that \( \langle x, \text{nil}(x) \rangle \vdash^\alpha_A x = [] \).

Furthermore, from \( g_1 = \alpha_G(x = [a|x_1]) = \langle x \leftrightarrow x_1, \text{list}(x, x_1) \rangle \) we have that \( g_1 \vdash^\alpha_A \exists_{a,x_1}(x = [x'|x_1]) \).

Recall that we are using \( x_i \) to denote existentially quantified variables and we omit the quantification for the sake of presentation. We then have:

\[ [[\text{append}(x, y, z)]^\alpha = \{ c_1 \cdot s \mid c_1 \vdash^\alpha_G \langle x, \text{nil}(x) \rangle \text{ then } c_1 \vdash^\alpha_G \langle y \leftrightarrow z, t \rangle \} \]
\[ \cap \{ c_1 \cdot c_2 \cdot s \mid c_1 \vdash^\alpha_G \langle x \leftrightarrow x_1, \text{list}(x, x_1) \rangle \text{ then } c_1 \vdash^\alpha_G \langle (x \leftrightarrow x_1) \land (z \leftrightarrow z_1), \text{list}(x, x_1) \land \text{list}(z, z_1) \rangle \}
\] and \( c_1, c_2, s \in \lfloor \text{next append}(x_1, y, z_1) \rfloor^\alpha \}

Given the process
\[ P = \text{gen}_a(x) \parallel \text{gen}_b(y) \parallel \text{append}(x, y, z) \]
we can verify that if \( s_\kappa \in [P]^\alpha \) and for \( i, j \in 1..\kappa, s_\kappa(i) \vdash^\alpha_G \langle \text{stop}_a, \text{nil}(\text{stop}_a) \rangle \) and \( s_\kappa(j) \vdash^\alpha_G \langle \text{stop}_b, \text{nil}(\text{stop}_b) \rangle \), then \( s_\kappa(\kappa) \vdash^\alpha_G \langle x \land y \land z, \text{list}(x) \land \text{list}(y) \land \text{list}(z) \rangle \).

Notice that the set of variables in a program is finite and then, the previous analysis always terminates.

32
5.3 Analysis of Reactive Systems

Synchronous data flow languages [2] such as Esterel and Lustre can be encoded as \texttt{tcc} processes [33, 29]. This makes \texttt{tcc} an expressive declarative framework for the modeling and verification of reactive systems. Here we show how our framework can provide additional reasoning techniques in \texttt{tcc} for the verification of such systems. More precisely, we shall use the groundness analysis above to verify if the simplified version of a control system for a microwave in Example 2.4 complies with its intended behavior: the door must be closed when it is turned on.

We assume \texttt{on}, \texttt{off}, \texttt{closed} and \texttt{open} be respectively the constraints \texttt{on} = $\emptyset$, \texttt{off} = $\emptyset$, \texttt{close} = $\emptyset$ and \texttt{open} = $\emptyset$ for variables \texttt{on}, \texttt{close} and \texttt{open} different from \texttt{E} and \texttt{E}'. The symbols \texttt{yes}, \texttt{no}, and \texttt{stop} denote constant symbols.

Our analysis consists in determining when the variable \texttt{Error} is bound to a ground term. If the system is correct, it must happen when the the door is open and the microwave is turned on.

With an analysis similar to that presented in the previous section, it is possible to show that if $s_\kappa \in \llbracket \text{micCtrl}(\text{Error, Button}) \rrbracket^\alpha$ and $s_\kappa(i) \vdash^A (\text{open} \sqcup \text{on})$, then $s_\kappa(i) \vdash^A \langle \text{Error, list(\text{Error})} \rangle$, i.e., \texttt{Error} is a ground variable.

We then conclude that the system effectively binds the list Error to a ground term whenever the system reaches an inconsistent state.

5.4 Suspension-Free Analysis

In \texttt{tcc} programs it is important to know if the system reaches a dead-lock state. This is, none of the guards of the ask processes running in parallel can be entailed. In such a state, we can say that the program suspends cause no further evolution is possible.

In some cases, verifying the guards of the program may give information if the system could lead to a suspension configuration. This analysis then points out possible mistakes in the choice of the guards selected by the programmer.

To perform this kind of analysis in our framework suffices to add information about the suspension of the processes in the abstract domain. Assume a simple constraint system where constraints are of the form \langle \texttt{c, s} \rangle and \langle \texttt{c, ns} \rangle. Roughly, the constraint \langle \texttt{c, s} \rangle represents a system that reaches a state \texttt{c} where processes need more information (i.e., a constraint greater than \texttt{c}) to proceed. The second constraint represents a system that produces a constraint \texttt{c} and there is no ask processes waiting for their guard to be entailed. We define formally such a constraint system as follows:

**Definition 5.4 (Suspension Analysis Constraint System).** Given a constraint system \texttt{C} = \langle \texttt{C, \leq, \sqcup, t, f, Var, \exists, d} \rangle, the suspension-constraint system \texttt{S(C)} is defined as \texttt{S} = \langle \texttt{S, \leq^s, \sqcup^s, \langle t, ns \rangle, \langle f, s \rangle, Var, \exists^s, d^s} \rangle where \texttt{S} = \texttt{C} \times \{\texttt{ns, s}\} and

- \langle \texttt{c, c'} \rangle \sqcup^s \langle \texttt{d, d'} \rangle = \langle \texttt{c \sqcup d, e} \rangle where \texttt{e = ns} iff \texttt{c}' = \texttt{d'} = \texttt{ns}.

- \langle \texttt{c, c'} \rangle \leq^s \langle \texttt{d, d'} \rangle if \texttt{c} \leq \texttt{d} and either \texttt{c}' = \texttt{ns} or \texttt{c}' = \texttt{d'}.
• $\exists^*_c(c, c') = \langle \exists_x c, c' \rangle$.

• $d_{xy}^s = \langle d_{xy}, ns \rangle$.

For the suspension analysis of tcc programs, one would expect that a system of the form $P = \prod_i^1$ when $c_i \text{ do } P_i$ is suspension-free if at least one of the guards $c_i$ can be entailed and the respective $P_i$ is suspension-free. In other words, we will say that $P$ suspends only if none of the guards $c_i$ can be entailed.

For the reasons above, we need to "group" ask processes occurring in a parallel composition in a single operator. This will allow us to conclude that a process is suspension-free even if some of its asks may suspend. For this, we propose a simple program transformation to highlight the set of processes that we need to focus on to detect suspension. We shall then map $P$ above to a process of the form:

$$R = \text{choice} (c_1, ..., c_n) \text{ do } P$$

Intuitively, $R$ executes $P$ if some of the guards $c_i$ can be entailed. Hence $R$ is suspension-free if some of the guards $c_1, ..., c_n$ can be entailed and $P$ is also suspension-free. This is formalized in the following definition.

**Definition 5.5 (Semantics of choice).** We define the semantics of a choice processes as follows

$$\langle \text{choice} (c_1, ..., c_n) \text{ do } P \rangle = \{ (d, ns) | \exists i \in 1..n \text{ s.t. } d \vdash c_i \text{ and } (d, ns) \in [P] \}$$

$$\cup \{ (d, s) | \forall i \in 1..n, d \not\vdash c_i \}$$

**Remark 5.6 (Choice and parametric asks).** The reader may have noticed that the definition of choice does not take into account parallel composition of processes of the form $(\text{abs } \vec{x}; c) P$. This is not a limitation since a process of the form

$$P = (\text{abs } \vec{x}_1; c_1) P_1 \parallel ... \parallel (\text{abs } \vec{x}_n; c_n) P_n$$

can be transformed into

$$P' = \text{when } \exists \vec{x}_1 c_1 \text{ do } (\text{abs } \vec{x}_1; c_1) P_1 \parallel ... \parallel \text{when } \exists \vec{x}_n c_n \text{ do } (\text{abs } \vec{x}_n; c_n) P_n$$

Now we can defined a suitable description $\langle C, \alpha, S(C) \rangle$ and prove that $S(C)$ is upper correct w.r.t. $C$ (see Definition 4.2). Then, all our previous results apply immediately for this new domain.

**Proposition 5.7.** Let $C$ be a constraint system, $S(C)$ be as in Definition 5.4 and $c \in C$. If $\alpha(c) = \langle c, ns \rangle$, then $\langle C, \alpha, S(C) \rangle$ is a description and $S(C)$ is upper correct w.r.t. $C$.

**Proof.** From the Definition 5.4 we can verify that

1) $\alpha(\exists x c) = \langle \exists_x c, ns \rangle = \exists^*_x(\alpha(c))$.

2) $\alpha(d_{xy}) = \langle d_{xy}, ns \rangle = d_{xy}^s$.

3) $\alpha(c \uplus d) = \langle c \uplus d, ns \rangle = \langle c, ns \rangle \uplus^s \langle d, ns \rangle$
It is worth noticing that the abstraction $\alpha$ in Proposition 5.7 does not induce any lose of information wrt the synchronization problem. More precisely:

**Proposition 5.8.** Let $C$ be a constraint system and $S(C)$ be as in Definition 5.4. For any $c,d \in C$ and $e \in S$, if $d \leftarrow c$ then $(d,e) \vdash_A c$.

**Proof.** Assume that $(d,e) \propto c'$. Then $(d,e) \leq^* \alpha(c') = (c', \text{ns})$. By hypothesis we know that $d \vdash c$ and by definition of $\leq$ that $c' \vdash d$. We conclude $c' \vdash c$.

Let us give an example of this analysis in the context of security protocols. Assume a simple protocol where $A$ sends a message to $B$ and then, $B$ prepares a new message for some other agent $C$. Similarly as we did in Section 5.1, this protocol can be represented as follows:

- **Proc**$_A(x,y,z) := (\text{local } m) (\text{tell(out})\{x,z,m\}_{\text{pub}(y)}))$
- **Proc**$_B(y) := (\text{abs } x,z,m; \text{out} \{x,z,m\}_{\text{pub}(y)})$
- **Proc**$_C(z) := (\text{abs } x,y,m,n; \text{out} \{x,y,m,n\}_{\text{pub}(z)})$
- Protocol := Proc$_A(x_a,x_b,x_c) \parallel$ Proc$_B(x_b) \parallel$ Proc$_C(x_c)$

The program above is “correct”, if the messages flow from “A” to “C”. We are then interested that none of the abs processes above get blocked. We shall then analyze the following program:

- **Proc**$_A'(x,y,z) := (\text{local } m) (\text{tell(out})\{x,z,m\}_{\text{pub}(y)}))$
- **Proc**$_B'(y) := \text{choice} (\exists x,y,m \text{ out} \{x,z,m\}_{\text{pub}(y)}) \text{ do}$
  - $(\text{abs } x,z,m; \text{out} \{x,z,m\}_{\text{pub}(y)})$
  - $(\text{local } n) \text{tell(out})\{x,y,m,n\}_{\text{pub}(z)}))$
- **Proc**$_C'(z) := \text{choice} (\exists x,y,m,n \text{ out} \{x,y,m,n\}_{\text{pub}(z)}) \text{ do}$
  - $(\text{abs } x,y,m,n; \text{out} \{x,y,m,n\}_{\text{pub}(z)})$
- Protocol := Proc$_A(x_a,x_b,x_c) \parallel$ Proc$_B(x_b) \parallel$ Proc$_C(x_c)$

Using the constraint system in Definition 5.4 and computing $[[\text{Protocol}']]^\alpha$ one can verify that the process Protocol$'$ is suspension-free.

It is worth noticing that we can compose this analysis with others like depth-k analysis to improve efficiency.

### 6 Concluding Remarks

Several frameworks and abstract domains for the analysis of logic programs have been defined (see e.g. [6, 4, 1]). Those works differ from ours since they do not deal with the temporal behavior and synchronization mechanisms present in tcc-based languages. On the contrary, since our framework is parametric w.r.t the abstract domain, it can benefit from those works.

We defined in [13] a framework for the declarative debugging of ntcc [23] programs (a non-deterministic extension of tcc). The framework presented
here is more general since it was designed for the static analysis of \texttt{tcc} and \texttt{utcc} programs and not only for debugging. Furthermore, as mentioned above, it is parametric w.r.t an abstract domain. The language \texttt{utcc} is also more involved: processes may exhibit infinite internal behavior and, unlike \texttt{ntcc}, \texttt{utcc} can encode Turing powerful formalisms [25]. In [13] we also dealt with infinite sequences of constraints and a similar finite cut over sequences was proposed there.

In [26] a symbolic semantics for \texttt{utcc} was proposed to deal with the infinite internal reductions of non well-terminated processes. This semantics, by means of temporal formulae, represents finitely the infinitely many constraints (and substitutions) the SOS may produce. The work in [25] introduces a denotational semantics for \texttt{utcc} based on (partial) closure operators over sequences of \textit{temporal logic formulae}. This semantics captures compositionally the \textit{symbolic strongest postcondition} and it was shown to be fully abstract w.r.t the symbolic semantics for the fragment of locally-independent and abstracted-unless free processes (see Definition 3.6). The semantics here presented turns out to be more appropriate than that in [25] to develop the abstract interpretation framework in Section 4. Firstly, the inclusion relation between the strongest postcondition and the semantics is verified for the whole language (Theorem 3.5) – in [25] this inclusion is verified only for the abstracted-unless free fragment–. Secondly, this semantics makes use of the entailment relation over constraints rather than the more involved entailment over first-order linear-time temporal formulae as in [25]. This shall ease the implementation of tools based on the framework. Finally, our semantics allows us to capture the behavior of \texttt{tcc} programs with recursion. This is not possible with the semantics in [25] which was thought only for \texttt{utcc} programs where recursion can be encoded.

For the kind of applications that stimulated the development of \texttt{utcc}, it was defined entirely deterministic. The semantics here presented could smoothly be extended to deal with some forms of non-determinism like those in [12], thus widening the spectrum of applications of our framework. It would be also interesting to study how our framework could adapt to stochastic and probabilistic extensions of \texttt{ccp}-based languages which have found application e.g., in the modeling of biological systems [24].

In [26] the symbolic semantics and the underlying temporal logic associated to \texttt{utcc} are used to verify a security protocol. The flaw in the protocol was exhibited by hand computing the symbolic outputs of the process. Here we go further by exhibiting the flaw automatically with the help of a prototype. Since our approach is based on approximations of the concrete semantics, not detecting a flaw does not imply the correctness of it.

As we showed in Section 5.2, given that \texttt{tcc} is a sub-calculus of \texttt{utcc}, our results apply straightforwardly to \texttt{tcc} programs. This work then provides the theoretical basis for building tools for the data-flow analyses of \texttt{utcc} and \texttt{tcc} programs whose verification and debugging are not trivial due to their concurrent nature and synchronization mechanisms. We have shown for example, how to analyze groundness and how to detect mistakes in safety critical applications, such as control systems and embedded systems.

36
Our results should foster the development of analyzers for different systems modeled in utcc and its sub-calculi such as security protocols, reactive and timed systems, biological systems, etc (see [24] for a survey of applications of ccp-based languages). We plan also to perform freeness, suspension, type and independence analyses among others. It is well known that this kind of analyses have many applications, e.g. for code optimization in compilers, for improving run-time execution, and for approximated verification.

We believe that the framework proposed here can also help to develop new analyses for other languages for reactive systems (e.g. Esterel [2]), which can be translated into tcc [33, 29] and for languages featuring mobile behavior as the $\pi$-calculus [21]. For the latter, many analyses have been already defined, see e.g. [14, 15]. As future work, it would be interesting to see if it is possible to carry out similar analyses in our framework for suitable fragments of $\pi$ that can be encoded into utcc (see e.g., [18] that encodes a $\pi$-based language for structured communication into utcc).

References


